

October 22-24  
**2018**  
Arlington, VA



Depository Library Council & Federal Depository Libraries  
**FEDERAL DEPOSITORY  
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#FDLConference

# Continuing the Conversation: Ensuring Public Access to a Comprehensive Collection

Cynthia Etkin, Office of the Superintendent of Documents, GPO  
Mary Clark, DLC, The Library of Virginia  
Alicia Kubas, DLC, University of Minnesota Libraries

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# Agenda

- Where We Stand Now
- Comprehensive Collection: Looking to the Future
- Comprehensive Collection: A Selective Depository Library Perspective
- Comprehensive Collection: A Regional Depository Library Perspective



# Where We Stand Now

- Spring Depository Library Council Meeting we left off with:
  - Designated regional depository libraries must ensure the comprehensiveness and integrity of a tangible FDLP collection in their state or region. (*Legal Requirements & Program Regulations #54, February 2018*)
  - A regional's collection is the material that was automatically deposited at the regional depository library through the FDLP and is housed there, or housed elsewhere under a Selective Housing Agreement. (*Regional Depository Libraries Guidance, FDL.gov*)



# Confusion ... Conflicting Guidance

- 1995 GPO General Counsel Memorandum on Eliminating Microfiche from Future Discard Lists (*Reprinted in 12/15/04 issue of Administrative Notes*)
- 2009 – 2010 movement to streamline the disposition of materials process
- SOD-PPS 2016-3, Government Publications Authorized for Discard by Regional Depository Libraries (<https://www.fdlp.gov/superintendent-of-documents-public-policies>)
- And if H.R. 5305 passes, GPO will have to “unless impracticable, provide for the designation of at least 2 Regional Depository Libraries in each census region”

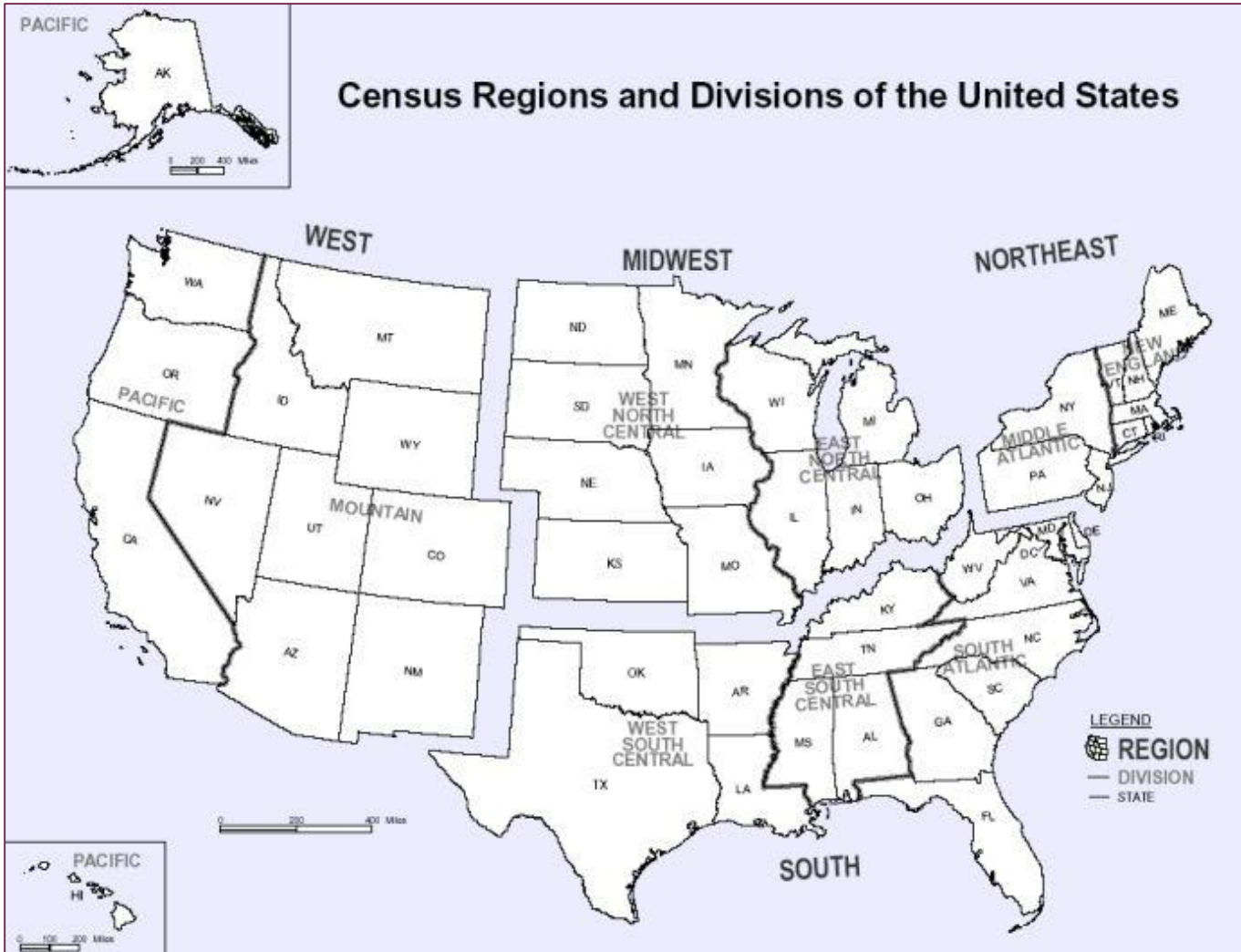
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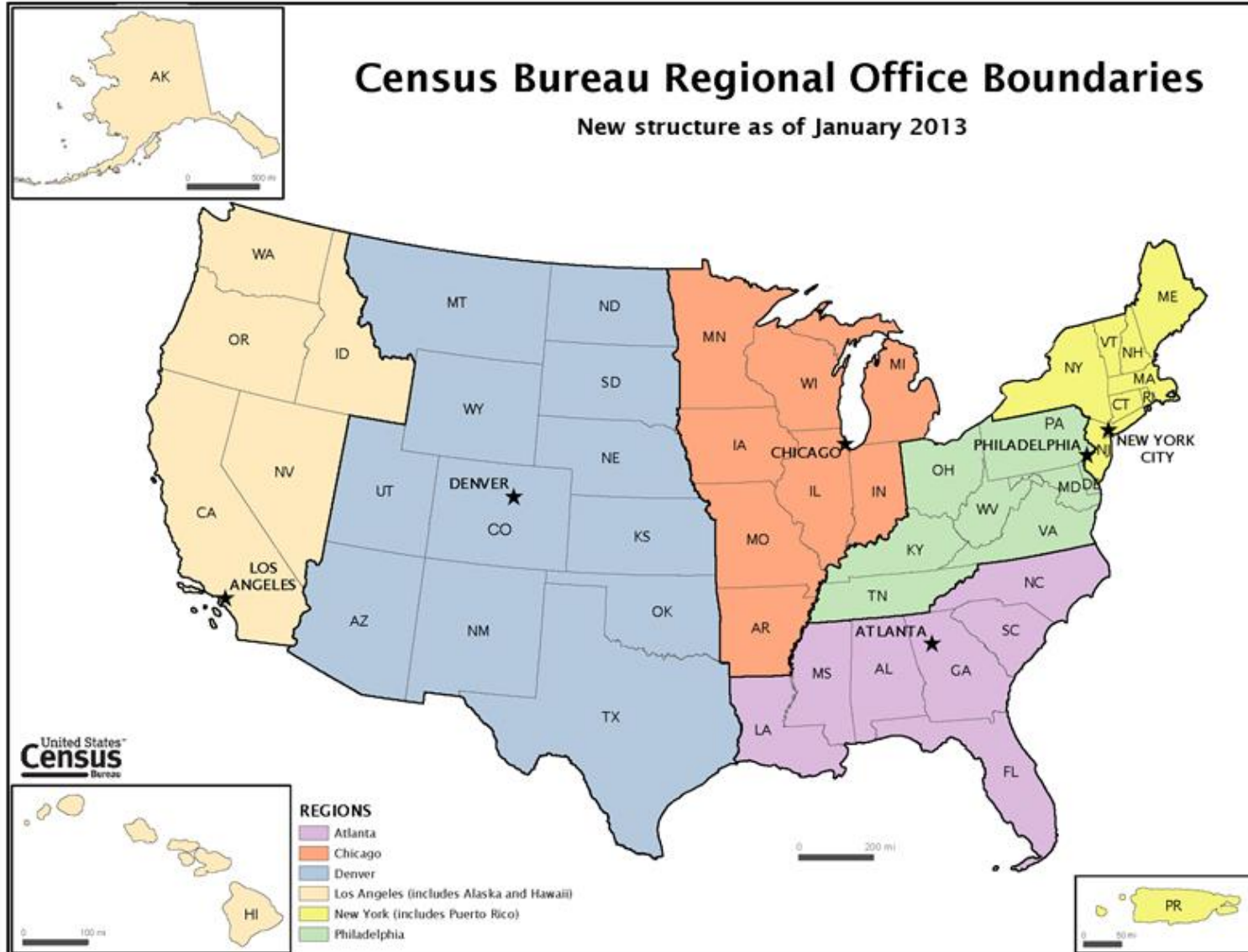


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*“Exists in tangible format with at least four tangible copies distributed geographically within the FDLP.”*

SOD-PP-2016-3



*"unless impracticable, provide for the designation of at least 2 Regional Depository Libraries in each census region"*

"FDLP Modernization Act of 2018," H.R. 5303, (§1745(d)(1)(C))

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# Comprehensive Collection: Looking to the Future

Cynthia Etkin  
Sr. Programming Planning Specialist  
Office of the Superintendent of Documents  
Government Publishing Office

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# National Collection of U.S. Government Information

“A geographically dispersed collection of the corpus of Federal Government information dissemination products paid for with Federal funds regardless of format or medium. Declassified materials and materials whose privacy considerations have expired are included within scope of the National Collection.”

*The National Collection is a comprehensive collection*



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# Regional Depository Library Collections

“The corpus of Federal publications that were automatically deposited in a regional depository library through the Federal Depository Library Program, and housed in that library or in another location under a selective housing agreement. Documents in the depository collection at the time of regional designation are subsumed in to the regional collection.” — *a regional collection*

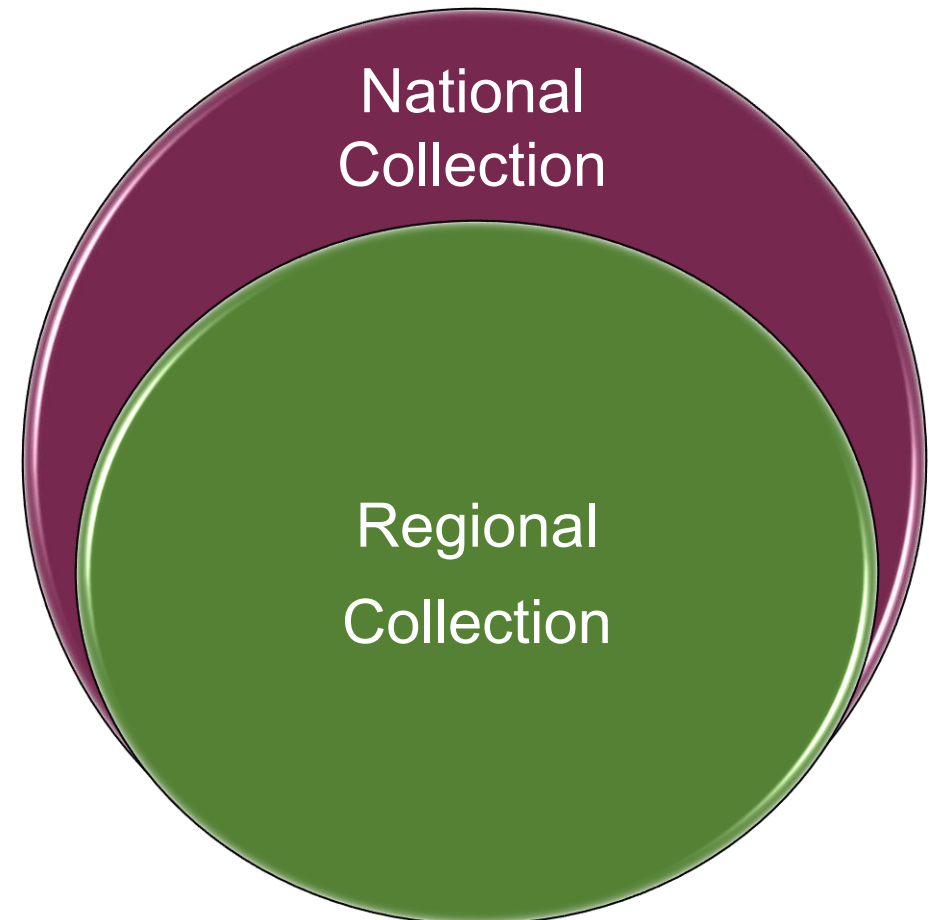
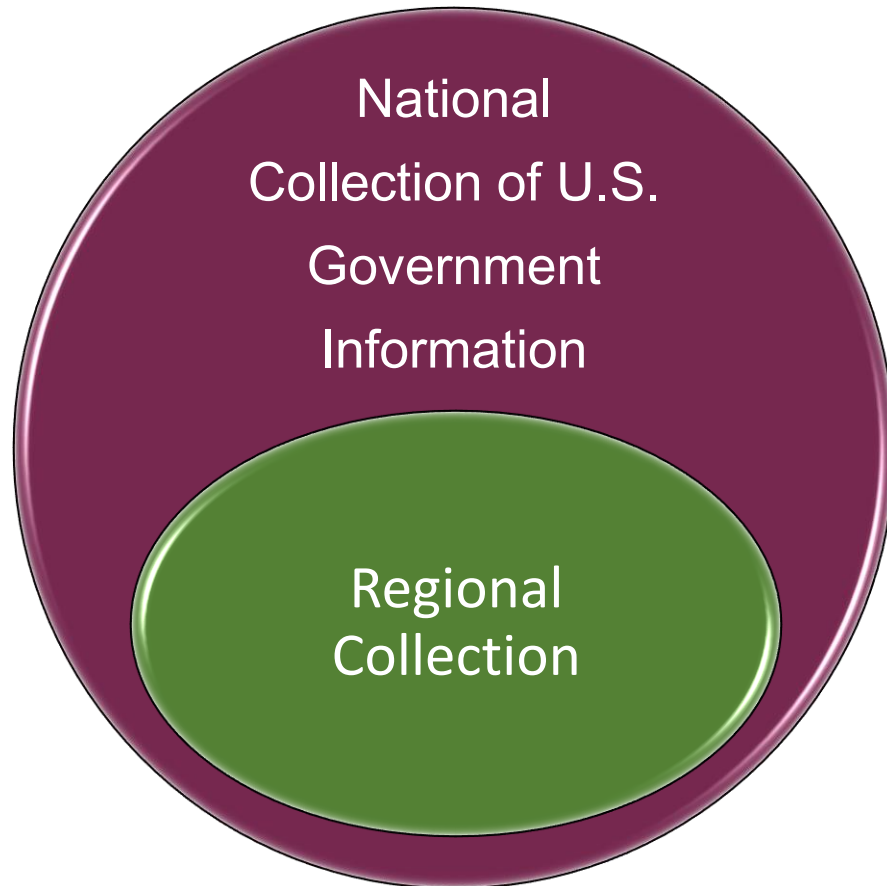


# Changing Scope of FDLP Eligibility

Date	Description Of Change
1813	House & Senate Journals and other Congressional documents
1895	Addition of executive departments publications
1938	Addition of congressional hearings, bills, resolutions, and reports on private bills
1962	Addition of publications not printed at GPO
1977	Addition of microfiche
1990	Addition of 5 1/4" floppy disks
1992	Addition of 3 1/2" floppy disks
1988	Addition of CD-ROMs and DVDs
1994	Addition of online publications
1994	Addition of websites
1996	First Internet publication cataloged for which there was no tangible product distributed to depository libraries
2018	Addition of Congressional Research Service reports



# Libraries Select and Collect





## Vision —

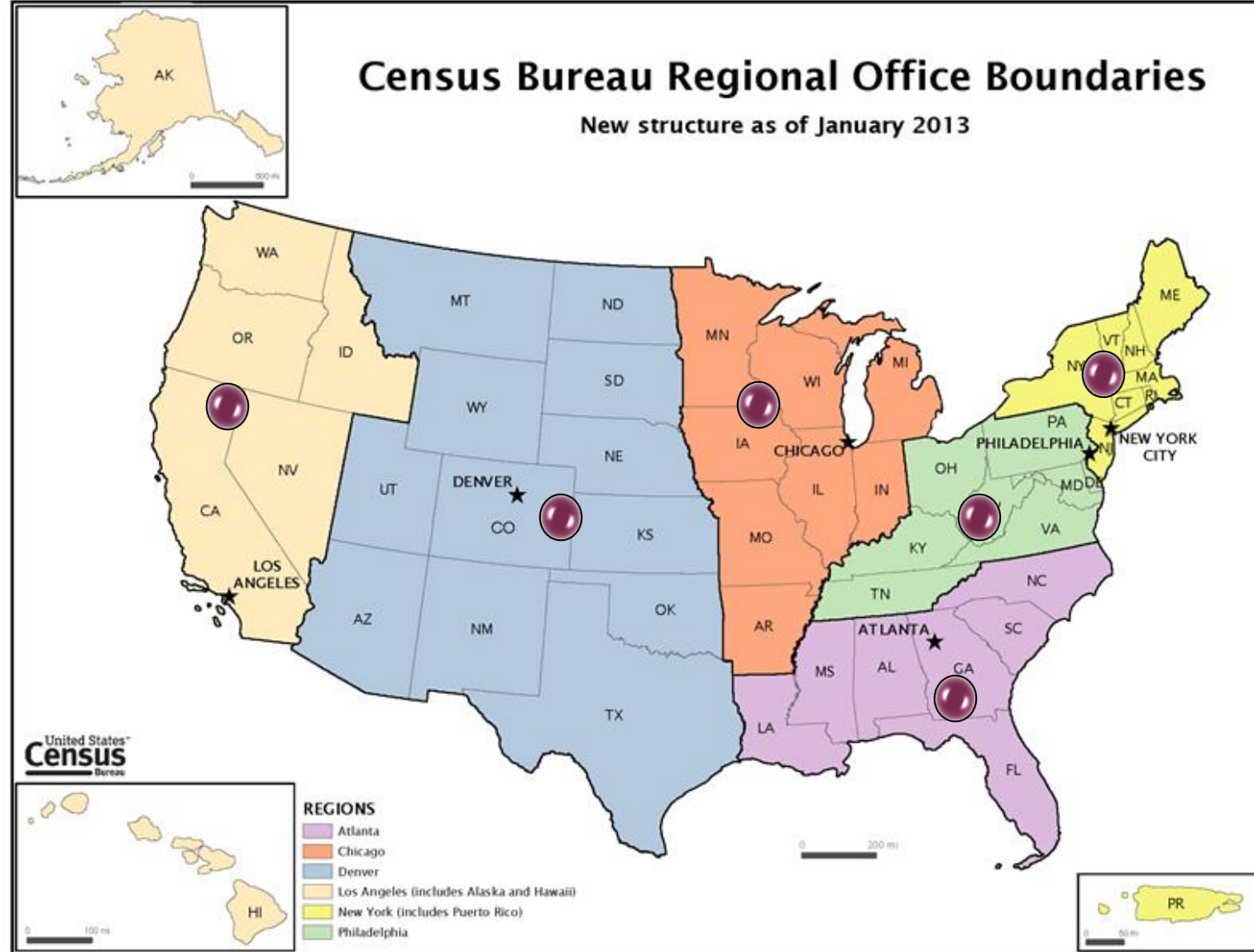
Government information will be available when and where it is needed!

## Outcome —

A publicly accessible preserved tangible and digital National Collection for which we know the content, where it is located, and in what condition it is.

## Goal —

- 6 comprehensive (?) collections
  - Distributed; or
  - Housed in one location
- Last copy policy
- Digital copies in **govinfo** or partner trusted repositories





# Assumptions

- Government information is a national asset and library collections of tangible and digital Government content are valuable to ensuring an informed citizenry.
- A level of collection redundancy is necessary.
- The need for 46 regional depository libraries continues to be questioned.
- Regional deposited collections will cross state boundaries.
- Federal agencies will continue to use their public websites as the primary means of dissemination of information.
- GPO and depository libraries will collaborate in new ways.
- Digital collections will grow exponentially, and their platforms will continue to evolve.
- No one library or institution can do all that is required to preserve the entire corpus of Government information.
- GPO will provide preservation support services for tangible depository collections in Federal depository libraries.



# How do we get there?

- Collaborating
- Planning, collection development
- Cataloging
- Comparing bibliographic records
- Sharing bibliographic records
- Preserving
- Supporting



# Guidelines for Establishing Shared Regional Depositories

- Proposed shared regional plan
  - Intra- or Inter-state
  - Multiple designated regionals
  - One regional serving multiple states
- GPO facilitate the planning process
- Review by the Superintendent of Documents
- Memorandum of Understanding (MOU)
- Transition and implementation



# What do we do next?

## Depository Libraries

- Planning, state-wide collection development
- Collaborating
- Cataloging

## Government Publishing Office

- Collaborating
- Planning, collection development
- Cataloging
- Comparing bibliographic records
- Sharing bibliographic records
- Preserving
- Supporting
- Revising
- Developing



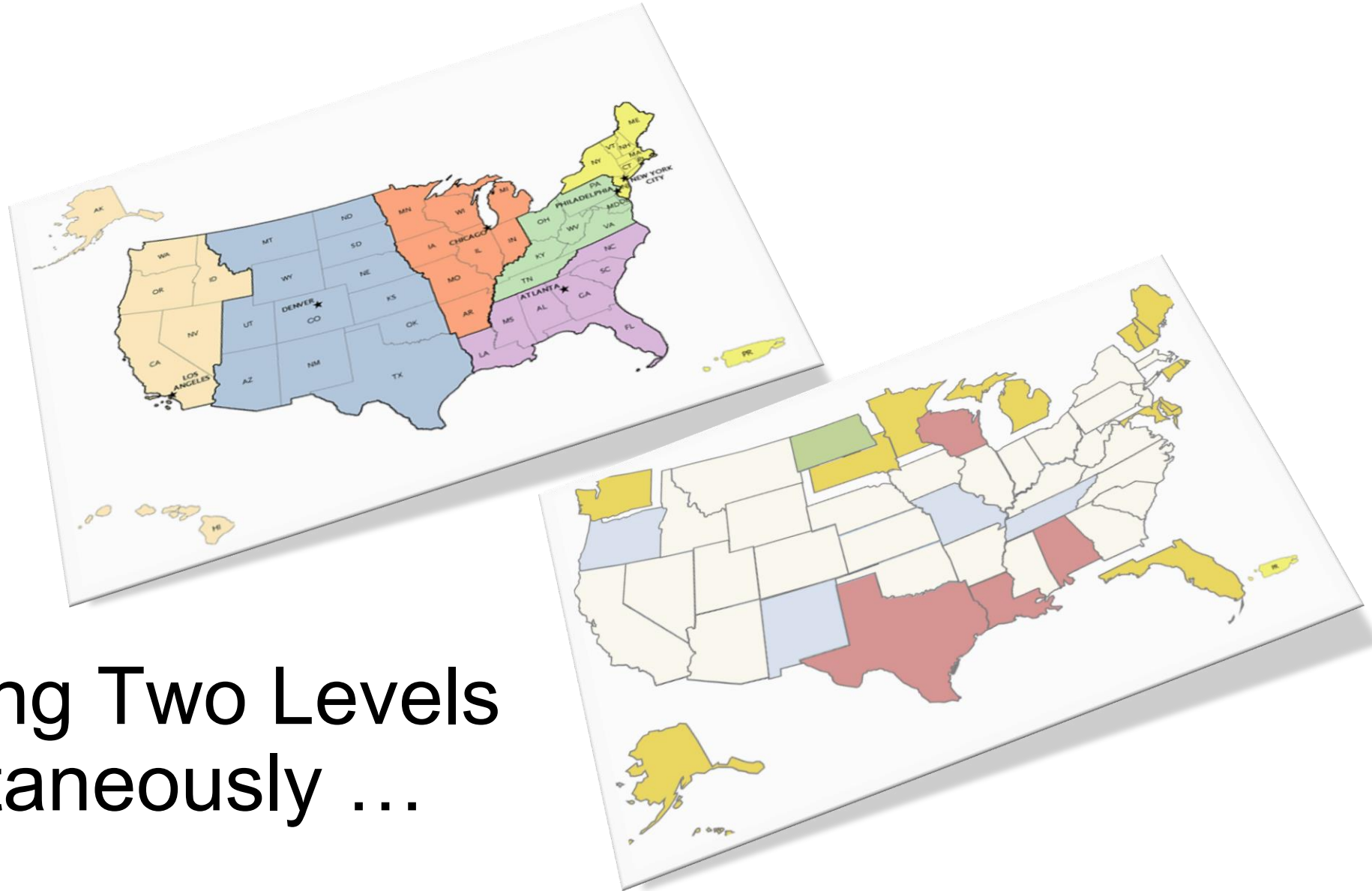
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**Working Two Levels  
Simultaneously ...**

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# Comprehensive Collection: A Selective Depository Library Perspective

Mary Clark  
Director of Acquisitions and Access Management  
The Library of Virginia

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# Collaborative Federal Depository Library Program



## Center of Excellence Program

ASSOCIATION OF SOUTHEASTERN RESEARCH LIBRARIES

<http://www.aserl.org/programs/gov-doc/>

- Inventory holdings and ensuring accurate cataloging and holdings records for the extant collection at each site.
- Support other COEs by providing catalog records to the ASERL COE database for gap analysis efforts.
- Fill identified gaps in COE collections.
- Develop expertise to facilitate use of the COE collections.
- Facilitate access to FDLP and COE titles through Inter-Library Loan. Digitization efforts are also encouraged.
- Facilitate cooperative training initiatives to share expertise within the depository community and participate in public awareness and outreach campaigns to promote Federal Government publications to libraries within the region.



# ASERL and Depository Libraries

- 11 regional depository libraries
- 309 selective depository libraries





# ASERL Item Dispositions FY2013 – FY2018

Items	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018
Total # of items offered	227,245	291,400	312,513	224,184	254,350	294,803
Total # of items claimed	9,995	12,318	21,174	11,878	12,528	10,609
Total # of items expired w/o claims	235,367	263,689	304,567	198,338	246,225	222,707
<b>Depositories</b>						
Number of depositories in ASERL region		314	310	309	308	306
# of depositories registered to use database		215	219	222	222	223
<b>Centers of Excellence</b>						
Total # COEs		36	38	38	40	42
Total # of items claimed by COEs	3,537	7,455	14,986	4,657	3,099	5,399
Total # items claimed by non-COEs	6,458	4,863	6,188	7,221	9,429	5,210



# ASERL Disposition Database and FDLP eXchange

## ASERL Disposition Database

- 202 libraries are registered users of the disposition database.
- 42 libraries are COEs.
- Statistics, 2013 – 2018:
  - 78,502 items have been claimed.
  - Centers of Excellence have claimed 39,133 items.
  - Non-COEs have claimed 39,369 items.
  - 1,470,893 claims have expired.

## FDLP eXchange

- Selective depository libraries can build collections.
- Use eXchange to build your collection by adding your needs to the database.
- Participate in helping others by adding your discards to the database.

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# Comprehensive Collection: A Regional Depository Library Perspective

Alicia Kubas  
Government Publications and Regional Depository Librarian  
University of Minnesota Libraries

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# Building Comprehensiveness at a Regional

- University of Minnesota-Twin Cities – regional for MN, SD, and MI
- Collection housed throughout UMN-Twin Cities
- SHA with Law Library
- Deduping and cataloging project in 2012





# Collection Philosophy

Collection Scope: As comprehensive as possible, to serve our selectives.

- Minitex resource sharing of gov docs

Collecting for access as well as preservation

- Preservation Stewardship agreement is for having circulated copies
- Shared print agreement with HathiTrust
- With focus on having as much online/digital access as possible

Collecting fugitives

- Agency mailing lists
- Regularly look for online fugitives at certain agencies



# Using eXchange to Build Collections

- Load needs lists to eXchange
  - Serial Set, Census, Basic Collection/Essential Titles, Miscellaneous
- Since late July have gotten 16 items from needs lists/national repository matching
- Post materials to eXchange as possible
- Help define the national collection in terms of having records for titles



# Future Hopes

- More cataloging done of comprehensive collections → the more data we can use
- Wide uptake of eXchange to bolster needs acquisition
- Increase inventory in terms of preservation

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# Questions? Comments?

Mary Clark, [mary.clark@lva.virginia.gov](mailto:mary.clark@lva.virginia.gov)

Alicia Kubas, [akubas@umn.edu](mailto:akubas@umn.edu)

Cynthia Etkin, [cetkin@gpo.gov](mailto:cetkin@gpo.gov)