Continuing the Conversation: Ensuring Public Access to a Comprehensive Collection

Cynthia Etkin, Office of the Superintendent of Documents, GPO
Mary Clark, DLC, The Library of Virginia
Alicia Kubas, DLC, University of Minnesota Libraries
Agenda

- Where We Stand Now
- Comprehensive Collection: Looking to the Future
- Comprehensive Collection: A Selective Depository Library Perspective
- Comprehensive Collection: A Regional Depository Library Perspective
Where We Stand Now

• Spring Depository Library Council Meeting we left off with:
  ▪ Designated regional depository libraries must ensure the comprehensiveness and integrity of a tangible FDLP collection in their state or region. (Legal Requirements & Program Regulations #54, February 2018)
  ▪ A regional’s collection is the material that was automatically deposited at the regional depository library through the FDLP and is housed there, or housed elsewhere under a Selective Housing Agreement. (Regional Depository Libraries Guidance, FDLP.gov)
Confusion … Conflicting Guidance

- 1995 GPO General Counsel Memorandum on Eliminating Microfiche from Future Discard Lists (*Reprinted in 12/15/04 issue of Administrative Notes*)
- 2009 – 2010 movement to streamline the disposition of materials process
- And if H.R. 5305 passes, GPO will have to “unless impracticable, provide for the designation of at least 2 Regional Depository Libraries in each census region”
“Exists in tangible format with at least four tangible copies distributed geographically within the FDLP.”

SOD-PP-2016-3
"unless impracticable, provide for the designation of at least 2 Regional Depository Libraries in each census region"

“FDLP Modernization Act of 2018,” H.R. 5303, (§1745(d)(1)(C)
Comprehensive Collection:
Looking to the Future

Cynthia Etkin
Sr. Programming Planning Specialist
Office of the Superintendent of Documents
Government Publishing Office
National Collection of U.S. Government Information

“A geographically dispersed collection of the corpus of Federal Government information dissemination products paid for with Federal funds regardless of format or medium. Declassified materials and materials whose privacy considerations have expired are included within scope of the National Collection.”

*The National Collection is a comprehensive collection*
Regional Depository Library Collections

“The corpus of Federal publications that were automatically deposited in a regional depository library through the Federal Depository Library Program, and housed in that library or in another location under a selective housing agreement. Documents in the depository collection at the time of regional designation are subsumed in to the regional collection.” — *a regional collection*
## Changing Scope of FDLP Eligibility

<table>
<thead>
<tr>
<th>Date</th>
<th>Description Of Change</th>
</tr>
</thead>
<tbody>
<tr>
<td>1813</td>
<td>House &amp; Senate Journals and other Congressional documents</td>
</tr>
<tr>
<td>1895</td>
<td>Addition of executive departments publications</td>
</tr>
<tr>
<td>1938</td>
<td>Addition of congressional hearings, bills, resolutions, and reports on private bills</td>
</tr>
<tr>
<td>1962</td>
<td>Addition of publications not printed at GPO</td>
</tr>
<tr>
<td>1977</td>
<td>Addition of microfiche</td>
</tr>
<tr>
<td>1990</td>
<td>Addition of 5 1/4” floppy disks</td>
</tr>
<tr>
<td>1992</td>
<td>Addition of 3 1/2” floppy disks</td>
</tr>
<tr>
<td>1988</td>
<td>Addition of CD-ROMs and DVDs</td>
</tr>
<tr>
<td>1994</td>
<td>Addition of online publications</td>
</tr>
<tr>
<td>1994</td>
<td>Addition of websites</td>
</tr>
<tr>
<td>1996</td>
<td>First Internet publication cataloged for which there was no tangible product distributed to depository libraries</td>
</tr>
<tr>
<td>2018</td>
<td>Addition of Congressional Research Service reports</td>
</tr>
</tbody>
</table>
Libraries Select and Collect

- National Collection of U.S. Government Information
  - Regional Collection
Vision —
Government information will be available when and where it is needed!

Outcome —
A publicly accessible preserved tangible and digital National Collection for which we know the content, where it is located, and in what condition it is.

Goal —
• 6 comprehensive (?) collections
  ▪ Distributed; or
  ▪ Housed in one location
• Last copy policy
• Digital copies in govinfo or partner trusted repositories
Assumptions

• Government information is a national asset and library collections of tangible and digital Government content are valuable to ensuring an informed citizenry.
• A level of collection redundancy is necessary.
• The need for 46 regional depository libraries continues to be questioned.
• Regional deposited collections will cross state boundaries.
• Federal agencies will continue to use their public websites as the primary means of dissemination of information.
• GPO and depository libraries will collaborate in new ways.
• Digital collections will grow exponentially, and their platforms will continue to evolve.
• No one library or institution can do all that is required to preserve the entire corpus of Government information.
• GPO will provide preservation support services for tangible depository collections in Federal depository libraries.
How do we get there?

• Collaborating
• Planning, collection development
• Cataloging
• Comparing bibliographic records
• Sharing bibliographic records
• Preserving
• Supporting
Guidelines for Establishing Shared Regional Depositories

• Proposed shared regional plan
  ▪ Intra- or Inter-state
  ▪ Multiple designated regionals
  ▪ One regional serving multiple states
• GPO facilitate the planning process
• Review by the Superintendent of Documents
• Memorandum of Understanding (MOU)
• Transition and implementation
What do we do next?

**Depository Libraries**
- Planning, state-wide collection development
- Collaborating
- Cataloging

**Government Publishing Office**
- Collaborating
- Planning, collection development
- Cataloging
- Comparing bibliographic records
- Sharing bibliographic records
- Preserving
- Supporting
- Revising
- Developing
Working Two Levels Simultaneously …
Comprehensive Collection: A Selective Depository Library Perspective

Mary Clark
Director of Acquisitions and Access Management
The Library of Virginia
Collaborative Federal Depository Library Program

- Inventory holdings and ensuring accurate cataloging and holdings records for the extant collection at each site.
- Support other COEs by providing catalog records to the ASERL COE database for gap analysis efforts.
- Fill identified gaps in COE collections.
- Develop expertise to facilitate use of the COE collections.
- Facilitate access to FDLP and COE titles through Inter-Library Loan. Digitization efforts are also encouraged.
- Facilitate cooperative training initiatives to share expertise within the depository community and participate in public awareness and outreach campaigns to promote Federal Government publications to libraries within the region.

http://www.aserl.org/programs/gov-doc/
ASERL and Depository Libraries

- 11 regional depository libraries
- 309 selective depository libraries
## ASERL Item Dispositions FY2013 – FY2018

<table>
<thead>
<tr>
<th>Items</th>
<th>FY2013</th>
<th>FY2014</th>
<th>FY2015</th>
<th>FY2016</th>
<th>FY2017</th>
<th>FY2018</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total # of items offered</td>
<td>227,245</td>
<td>291,400</td>
<td>312,513</td>
<td>224,184</td>
<td>254,350</td>
<td>294,803</td>
</tr>
<tr>
<td>Total # of items claimed</td>
<td>9,995</td>
<td>12,318</td>
<td>21,174</td>
<td>11,878</td>
<td>12,528</td>
<td>10,609</td>
</tr>
<tr>
<td>Total # of items expired w/o claims</td>
<td>235,367</td>
<td>263,689</td>
<td>304,567</td>
<td>198,338</td>
<td>246,225</td>
<td>222,707</td>
</tr>
</tbody>
</table>

### Depositories

| Number of depositories in ASERL region      | 314     | 310     | 309     | 308     | 306     |
| # of depositories registered to use database| 215     | 219     | 222     | 222     | 223     |

### Centers of Excellence

<table>
<thead>
<tr>
<th>Total # COEs</th>
<th>36</th>
<th>38</th>
<th>38</th>
<th>40</th>
<th>42</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total # of items claimed by COEs</td>
<td>3,537</td>
<td>7,455</td>
<td>14,986</td>
<td>4,657</td>
<td>3,099</td>
</tr>
<tr>
<td>Total # items claimed by non-COEs</td>
<td>6,458</td>
<td>4,863</td>
<td>6,188</td>
<td>7,221</td>
<td>9,429</td>
</tr>
</tbody>
</table>
### ASERL Disposition Database

- 202 libraries are registered users of the disposition database.
- 42 libraries are COEs.
- Statistics, 2013 – 2018:
  - 78,502 items have been claimed.
  - Centers of Excellence have claimed 39,133 items.
  - Non-COE have claimed 39,369 items.
  - 1,470,893 claims have expired.

### FDLP eXchange

- Selective depository libraries can build collections.
- Use eXchange to build your collection by adding your needs to the database.
- Participate in helping others by adding your discards to the database.
Comprehensive Collection: A Regional Depository Library Perspective

Alicia Kubas
Government Publications and Regional Depository Librarian
University of Minnesota Libraries
Building Comprehensiveness at a Regional

- University of Minnesota-Twin Cities – regional for MN, SD, and MI
- Collection housed throughout UMN-Twin Cities
- SHA with Law Library
- Deduping and cataloging project in 2012
Collection Philosophy

Collection Scope: As comprehensive as possible, to serve our selectives.

• Minitex resource sharing of gov docs

Collecting for access as well as preservation

• Preservation Stewardship agreement is for having circulated copies
• Shared print agreement with HathiTrust
• With focus on having as much online/digital access as possible

Collecting fugitives

• Agency mailing lists
• Regularly look for online fugitives at certain agencies
Using eXchange to Build Collections

- Load needs lists to eXchange
  - Serial Set, Census, Basic Collection/Essential Titles, Miscellaneous
- Since late July have gotten 16 items from needs lists/national repository matching
- Post materials to eXchange as possible
- Help define the national collection in terms of having records for titles
Future Hopes

• More cataloging done of comprehensive collections → the more data we can use
• Wide uptake of eXchange to bolster needs acquisition
• Increase inventory in terms of preservation
Questions?
Comments?

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