The Continuing Transformation of Access to Government Information:

Grants, Models, and Processes in the FDLP

Anthony Smith, GPO Robin Haun-Mohamed, GPO October 17, 2017

Program Objectives:

Mission

 The mission of the Federal Depository Library Program (FDLP) is to provide free, ready, and permanent public access to Federal Government information, now and for future generations.

Vision

 The vision of the FDLP is to provide Government information when and where it is needed in order to create an informed citizenry and an improved quality of life.





Safeguarding Government Information Access for All

How Depository Libraries Support These Objectives:

- Libraries provide the expertise, professionals know how to find information deeper than a Google search.
- Libraries work collaboratively to provide a network of experts across the miles—across the country and the territories.
- Libraries are in the know for trends and issues at the local level, which can impact resource availability at the national level.





Safeguarding Government Information Access for All

A New Idea:

Committee on House Administration Hearing with Testimony by Director of GPO, Davita Vance-Cooks, July 18, 2017:

"I would say that it is time for us to explore the option of grant-making authority for the GPO, so that we can support innovative digital initiatives in the library community."

A New Idea:

Recommendations for revisions to Title 44 *U.S. Code* Chapter 19, Depository Library Council, September 25, 2017:

New section: grants to depository libraries: Add a section to Chapter 19 to give GPO grant-making authority, and to enter into contracts or cooperative arrangements with depository libraries to enhance access to Government publications. Such activities may include, but are not limited to, the digitization of Government publications, preservation of Government publications, and cataloging Government publications.

Giving GPO grant-making authority would provide leverage to accelerate efforts to improve access to and preservation of Government publications, and to foster greater cooperation between GPO and depository libraries that participate in GPO-funded projects.





Safeguarding Government Information Access for All

How to Implement:

DLC sought comments and suggestions for modernizing the FDLP's statutory authority. Request for Comments, June 27 – Aug 31:

- "GPO grant making authority to help FDLP libraries with preservation (print and digital)"
- "seeking grant making authority for GPO, I think you should support that -- but only if the funding for grants isn't simply cannibalized from other parts of the FDLP"
- "Grant authority for preservation of digital and print"





Safeguarding Government Information Access for All

How to Implement:

DLC sought comments and suggestions for modernizing the FDLP's statutory authority. Request for Comments, June 27 – Aug 31:

 "The ability to issue grants: Preservation requires funding. The GPO being able to provide grants for digitization, physical space, cataloging and other preservation measures is needed. It is important that physical copies be included in preservation efforts to aid libraries with problems relating to older buildings and space issues."





Safeguarding Government Information Access for All

How to Implement:

DLC sought comments and suggestions for modernizing the FDLP's statutory authority. Request for Comments, June 27 – Aug 31:

 "Add the ability of the GPO to provide grants to FDLP libraries for activities that promote the GPO mission to keep America informed in the 21st Century: grants to assist in the preservation process of current collections; provide grants to libraries in need of assistance to provide online access to information, etc."



Products or Services Funded by the Federal Government:

Federal Grant – Awarding agency has no substantial programmatic involvement with grant recipient during the period of performance. <u>Must support a public purpose</u>

Contracts

Cooperative Agreements

31 U.S.C. 63 - USING PROCUREMENT CONTRACTS AND GRANT AND COOPERATIVE AGREEMENTS





Types of Grants

Discretionary

- Eligibility varies
- Usually an award maximum
- Competitive
- Meet program criteria





Safeguarding Government Information Access for All

Example of a Discretionary Program

IMLS National Leadership Grants for Libraries (NLG)

 Eligibility: unit of state or local government or private nonprofit organization that has taxexempt status under the Internal Revenue Code varies





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Example of a Discretionary Program

IMLS NLG Categories

- Sparks Up to \$25k
- Planning up to \$50k
- National Forum up to \$100k
- Projects up to \$2 mil
- Research up to \$2 mil





Example of a Discretionary Program

IMLS NLG Program Criteria

- National Impact
- Innovation
- Strategic Collaboration
- Demonstrated Expertise





Types of Grants

Mandatory

- Typically awarded to State governments, but not always
- Usually formula-based
- Non-competitive
- Meet program criteria





Safeguarding Government Information Access for All

Example of Mandatory Grant Program

Library Services and Technology Act (LSTA)

- Administered by IMLS and distributed to 50 states and territories.
- Largest source of Federal funds to support libraries, ≈ \$150 mil/year
- States submit a 5-year plan
- Population-based formula





Example of Mandatory Grant Program

What's in a 5-year plan:

- Mission Statement
- Needs Assessment
- Goals
- Projects
- Coordination Efforts
- Evaluation Plan





Example of Mandatory Grant Program

What's in a 5-year plan:

- Stakeholder Involvement
- Communication and Public Availability
- Monitoring
- Assurances



What if...

...the FDLP had grant authority?



One Idea

a Grants to Regionals Program





Grants to Regionals

Here's how it might work:

- New appropriations to provide grant funds to regional libraries
- Supports the work of regionals and selectives
- Regional Plan of Action
- Formula-based, by population served





Requirements

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"I would say that it is time for us to explore the option of grant-making authority for the GPO, so that we can support *innovative* digital initiatives in the library community."



Additional Requirements

- Regional Plan of Action
 - Outcome-based assessment strategy
- Stakeholder involvement
- Expenditures must be within scope of the program
- Federal Cost Principles
- National Priorities
- Annual Interim Reports
- Final Report





Possible Next Steps

- More hearings
- Stakeholder meetings
- Public forums
- Request for comments
- More research/study
- Related programming during spring 2018
 DLC Virtual Meeting





Grants to Regionals

Discussion

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