

MEMORANDUM OF AGREEMENT
BETWEEN
SEELEY G. MUDD LIBRARY, LAWRENCE UNIVERSITY
AND THE
U.S. GOVERNMENT PUBLISHING OFFICE

PURPOSE

This memorandum outlines the Seeley G. Mudd Library, Lawrence University's responsibilities as a preservation steward of tangible content within scope of the Superintendent of Documents Public Information Programs: Federal Depository Library Program (FDLP); Cataloging & Indexing Program (C&I); and GPO's System of Online Access . It expresses the U.S. Government Publishing Office's (GPO) and the Federal Depository Library Program's long-term commitments to permanent public access through preservation and in *Keeping America Informed*.

BACKGROUND

Responsibility for retention of depository materials by regional depository libraries is found in 44 United States Code §1912, which states:

[regional depository libraries] will, in addition to fulfilling the requirements for depository libraries, retain at least one copy of all Government publications either in printed or microfacsimile form (except those authorized to be discarded by the Superintendent of Documents) ...

This provision of §1912 has been in place since the Depository Library Act of 1962, Pub. L. 87-579, which established regional depository libraries. However, the Joint Committee on Printing (JCP) in a letter to GPO Director Davita Vance-Cooks, dated August 5, 2015, authorized withdrawal of tangible depository materials under certain circumstances.

With this new authority, the Superintendent of Documents issued a new policy¹ which permits regional depository libraries to withdraw Government publications from their collection if:

¹ Superintendent of Documents Public Policy Statement: Government Publications Authorized for Discard by Regional Depository Libraries (effective May 31, 2016) may be found at: <http://www.fdlp.gov/file->

1. Advance approval to discard from the Superintendent of Documents is received.
2. The publication is superseded or later issued in bound form.
3. The publication:
 - a. Has been retained by the regional in tangible form for a period of seven years from the date of receipt, processing, or shipping list date;
 - b. Is available on GPO's **govinfo** and contains the digital signature of the Superintendent of Documents; and
 - c. Exists in tangible form in at least 4 libraries distributed geographically in accordance with the policy.

PRESERVATION STEWARDS

The Seeley G. Mudd Library, Lawrence University agrees to provide preservation stewardship for the attached list of volume and date ranges of serials and/or titles and year of monographs. By agreeing to be a preservation steward for Federal depository tangible resources, the Seeley G. Mudd Library, Lawrence University becomes a partner in the Federal Information Preservation Network (FIPNet), a GPO strategic initiative and a national Government publications preservation effort. Preservation stewards play a critical role in GPO achieving its mission of *Keeping America Informed*. As GPO does not have a tangible collection to preserve, reliance on preservation steward agreements with depository libraries, Federal agencies, and other institutions or organizations with Government publications is a necessity. As a FIPNet activity, GPO will maintain an inventory of the Seeley G. Mudd Library, Lawrence University's preservation copies of record to ensure compliance with 3.C. above. Preservation stewardship obligates libraries to:

1. Verify the physical existence of item(s) on their list.
2. Ensure the item(s) is cataloged and if it is not, create a record using at least minimum level cataloging.
3. Determine that the item condition is good, the minimal level for preservation copy of record.
4. Store the item(s) in closed or open stacks. Make the item(s) non-circulating and available for in library use only. Have guarantees in place for replacement of lost, stolen, or damaged materials.
5. Ensure preservation copies of record are not withdrawn by making them easily identifiable:
 - Stamp the publication "DO NOT WITHDRAW" or something similar.

[repository/about-the-fdip/policies/superintendent-of-documents-public-policies/2737-government-publications-authorized-for-discard-by-regional-depository-libraries-1](https://www.gpo.gov/about-the-fdip/policies/superintendent-of-documents-public-policies/2737-government-publications-authorized-for-discard-by-regional-depository-libraries-1).

- Make a retention notation in the cataloging record, for example, "Federal Information Preservation Network Preservation Copy of Record"
6. Include the item(s) within the scope of library's collection care or collection conservation programs, if applicable.
7. Reporting
- Notify GPO immediately if the condition of any of the titles under its preservation stewardship changes due to loss, deterioration, mold, vandalism, or disaster.
 - Notify GPO immediately if there are location changes for any of the titles under its preservation stewardship.
 - Conduct, with GPO's participation, a condition assessment at least every three years using a random sampling of titles under its preservation stewardship.

DEFINITIONS

Good condition	The publication shows signs of use. The binding may show signs of wear to cover boards, hinges, and spine, but all pages are tightly bound. Binding boards and pages may show signs of having been bumped and bent at the edges (dog eared), but no other damage is present. Maps and foldouts may show wear at the folds, but all information is clearly legible. All supplementary material is present, but has clearly been used and may have been misfolded when returned to the pockets or enclosures.
Minimum level cataloging	As a minimum, a resource description for a work, expression, manifestation, or item should include all the core elements (RDA Toolkit, 0.6: Core Elements) that are applicable and readily ascertainable. The description should also include any additional elements that are required in a particular case to differentiate the resource from one or more other resources with similar identifying information.
Preservation copy of record (for tangible publications)	The preservation copy of record for tangible publications is the version set aside to protect its informational content and intrinsic value from decay or destruction. The copy of record may be nondestructively digitized to create a digital

	surrogate to function as the use copy. Intrinsic value is the worth of an item beyond the information content; it includes a combination of factors including historic value and provenance.
Preservation copy of record (for digital content)	The preservation copy of record for digital content is the preservation master file stored in a trustworthy repository. Derivatives of the preservation master copy are made available for access. The digital copy of record should be produced to specifications that will allow the creation of a printed facsimile version, should one be needed.
Tangible content	Information conveyed on a physical medium.

TERMINATION OF AGREEMENT

1. Either party may terminate this agreement after providing one hundred and twenty (120) days written notice to the other party.
2. Between the date of the notice of termination and the date of termination, the Seeley G. Mudd Library, Lawrence University will continue to provide preservation stewardship in accordance with this agreement.
3. The parties understand that once this agreement is terminated, GPO will find another depository library to provide preservation stewardship of the Federal publications under the scope of this agreement, or will itself provide preservation stewardship.

PUBLIC RELEASE OF AGREEMENT

The parties agree a signed copy of this agreement may be made public on a GPO website.

EFFECTIVE DATE/REVIEW

This agreement is effective as of the date of signature by all authorized representatives indicated below. The agreement will be reviewed by the parties three (3) years from the effective date to determine if changes or amendments are needed.

POINTS OF CONTACT

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ACCEPTANCE

This memorandum provides transparent, compliant, and auditable documentation of existing and ongoing preservation activities entrusted to Federal depository libraries maintaining depository collections of record. By signing this memorandum the library accepts the terms of this agreement.



Date 10/24 / 2019

Laurie B. Hall
Superintendent of Documents
U.S. Government Publishing Office



Date 18 OCTOBER 2019

Peter Gilbert
Director, Seeley G. Mudd Library
Lawrence University

Preservation Steward Collection – GPO

1. *American State Papers* (complete)
2. *Smithsonian Contributions to knowledge* (v.1 (1848)-v.35 (1916))
3. Corporate charters, Code of Federal regulations, and Constitutions and by-laws of Native American tribes from 1934-1942. We have 73.
4. *Bulletin*. Bureau of Indian Affairs (no.2 (1920)-no.21 (1923))
5. *General index of the Journals of Congress from the First to Tenth Congress inclusive.*
6. *Reports upon the survey of the boundary between the territory of the United States and the possessions of Great Britain.* A. Campbell, 1878.
7. *Report of a reconnaissance from Carroll, Montana Territory, on the upper Missouri, to the Yellowstone National Park.* W. Ludlow, 1876,
8. *Report of the exploring expedition from Santa Fé, New Mexico, to the junction of the Grand and Green Rivers.* Army Corp of Engineers, 1876.
9. *Report of a reconnaissance of the Black Hills of Dakota, made in the summer of 1874.*
10. *Medical and surgical history of the war of the rebellion. Prepared under the direction of Surgeon General Joseph K. Barnes.*
11. *Report upon the Colorado River of the West, explored in 1857 and 1858* by Joseph C. Ives.
12. *Report on the art of war in Europe in 1854, 1855, and 1856.*
13. *Report on the United States and Mexican boundary survey made under the direction of the secretary of the Interior,* by William H. Emory.
14. *Report of the Secretary of war, communicating the report of Captain George B. McClellan,* 1857.
15. *Account of a tornado near New Harmony, Ind., April 30, 1852.*
16. *Exploration of the Red River of Louisiana, in the year 1852.*
17. *The debates in the several state conventions on the adoption of the federal constitution as recommended by the general convention.* J. Elliot, 1854.
18. *Commercial regulations of the foreign countries with which the United States have commercial intercourse.* N. Biddle, 1819.
19. *Report of the Superintendent of the Coast Survey.* We hold 1851-1858.
20. *American archives.* 9 v. Series 4-5.
21. *The revolutionary diplomatic correspondence of the United States.* 6 v.
22. *Secret journals of the acts and proceedings of congress.* 4 v.