An Overview of RDA and Its Implementation at GPO

Presented by: GPO, Library Services & Content Management, RDA Implementation Team
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• Rachel Mattingly, Librarian (Cataloger)*
• Yalan Qi, Librarian (Automation)

* Presenters
Agenda

- What is RDA?
- Prominent features of RDA records
- GPO’s implementation
- GPO-specific policies and practices for RDA
- Resources for further study
What is RDA?

Resource Description & Access

• The new, international cataloging standard that succeeds its predecessor, Anglo-American Cataloging Rules (2nd ed., rev.) (AACR2)
• Published in June 2010
• Designed for the digital environment
• Based on the test by Library of Congress, the National Agricultural Library, the National Library of Medicine and 26 test libraries, full implementation of RDA not take place until 2013
Day One of Implementation of RDA

- LC: full implementation of RDA March 31, 2013
- The U.S. National Agricultural Library and the National Library of Medicine, as well as the British Library, Library & Archives Canada, and National Library of Australia: the first quarter of 2013
- GPO: Spring 2013
Why Use RDA

• To serve our users with a better resource discovery experience

• To improve how we catalog by providing richer metadata that can be shared outside the library community as linked data on the web

• To better describe resources of all types and formats: print, online, microform, non-text, finite or integrating resources, etc.
Foundations of RDA

Functional Requirements for Bibliographic Records (FRBR)

Functional Requirements for Authority Data (FRAD)

Statement of International Cataloguing Principles
Functional Requirements for Bibliographic Records (FRBR)

User Tasks:

• Find
• Identify
• Select
• Obtain
FRBR: a conceptual entity-relationship model

• Entities (in 3 groups)
• Attributes
• Relationships (between groups of entities)
Group 1 Entities: the products of intellectual or artistic endeavor

- Work
- Expression
- Manifestation
- Item

*Functional Requirements for Bibliographic Records (FRBR)*
Group 2 Entities: those responsible for the intellectual or artistic content

- Person
- Corporate Body
- Family
Group 3 Entities: the subjects of works

- Concept
- Object
- Event
- Place

Group 3 Entities and “Subject” Relationships
RDA Outline

0: Introduction
   1: Section 1: Recording Attributes of Manifestation & Item
      2: General Guidelines on Recording Attributes of Manifestations and Items
      3: Identifying Manifestations and Items
      4: Describing Carriers
      5: Providing Acquisition and Access Information
   2: Section 2: Recording Attributes of Work & Expression
      6: General Guidelines on Recording Attributes of Works and Expressions
      7: Identifying Works and Expressions
      8: Describing Content
   3: Section 3: Recording Attributes of Person, Family, & Corporate Body
      9: General Guidelines on Recording Attributes of Persons, Families, and Corporate Bodies
      10: Identifying Persons
      11: Identifying Families
      12: Identifying Corporate Bodies
   4: Section 4: Recording Attributes of Concept, Object, Event & Place
      13: General Guidelines on Recording Attributes of Concepts, Objects, Events, and Places
      14: Identifying Concepts
      15: Identifying Objects
      16: Identifying Events
      17: Identifying Places
   5: Section 5: Recording Primary Relationships Between Work, Expression, Manifestation, & Item
      18: General Guidelines on Recording Primary Relationships
      19: Identifying Primary Relationships
   6: Section 6: Recording Relationships to Persons, Families, & Corporate Bodies
      20: General Guidelines on Recording Relationships to Persons, Families, and Corporate Bodies Associated with a Resource
   7: Section 7: Recording Relationships to Concepts, Objects, Events, & Places
      21: General Guidelines on Recording the Subject of a Work
      22: Identifying Concepts
      23: Identifying Objects
      24: Identifying Events
      25: Identifying Places
   8: Section 8: Recording Relationships between Works, Expressions, Manifestations, & Items
      26: General Guidelines on Recording Relationships between Works, Expressions, Manifestations, and Items
      27: Identifying Works
      28: Identifying Expressions
      29: Identifying Manifestations
      30: Identifying Items
   9: Section 9: Recording Relationships between Persons, Families, & Corporate Bodies
      31: General Guidelines on Recording Relationships Between Persons, Families, and Corporate Bodies
      32: Identifying Persons
      33: Identifying Families
      34: Identifying Corporate Bodies
   10: Section 10: Recording Relationships between Concepts, Objects, Events, & Places
      35: General Guidelines on Recording Relationships between Concepts, Objects, Events, and Places
      36: Identifying Concepts
      37: Identifying Objects
      38: Identifying Events
      39: Identifying Places
   Appendices
      A: Capitalization
      B: Abbreviations
      C: Initial Articles
Statement of International Cataloguing Principles

• Principle of Convenience of the User
• Principle of Representation ("take what you see")
• Principle of Common Usage
“Elevator Speech”

- RDA aims to provide catalog data that will help libraries define our presence on the Web
- With well-defined and structured data elements
- Clearly indicating relationships among works and the people responsible for them
- Clearer language for our patrons
- Easier for computers to manipulate

*RDA for the Non-Cataloger*, by Lori Robare
Changes in Terminology

AACR2
• Heading → RDA
• Author → Creator
• Main entry → Preferred title + authorized access point for creator if appropriate
• Physical description → Carrier description
• Chief source → Preferred sources
Prominent RDA Features:

Sets of rules for specific types of materials have disappeared. Instead, instructions are provided to describe “attributes” (i.e., characteristics) of “entities” (e.g., works and creators).
Prominent RDA Features:
Core Elements and Core If Elements

- Certain elements in RDA are identified as core elements or core if elements (RDA 0.6)
  
  **Core**: Title, Statement of Responsibility, Edition statement, Publication statement, etc.
  
  **Core if**: Copyright date (if neither date of publication nor date of distribution identified)

- Agency can add others
Prominent RDA Features: Principle of Representation

RDA 1.7.1 provides guidelines for transcribed information: generally follow what is on source for punctuation, abbreviations, inaccuracies, symbols, initials, numbering:

For inaccuracies in titles proper of monographs:

• “[sic]” or “[i.e., ___ ]” not used
• Explain in MARC 246 field
• 245 14 The U.S. State Department's inadequate response to human rights concerns in Bolivia : $b the case of American Jacob Osreicher
• 246 1# $i Corrected title: $a U.S. State Department's inadequate response to human rights concerns in Bolivia : $b the case of American Jacob Ostreicher
Prominent RDA Features:
Convenience of the User

Abbreviations are out:

• “pages” – not “p.”
• “Department” – Not “Dept.” when this word is presented in full in the resource
Prominent RDA Features: Convenience of the User

• Latin Phrases No Longer Used:
  – “and X others” [formerly “et al.” for “et alia”]
  – “place of publication not identified” [formerly “s.l.” for “sine loco”]
  – “publisher not identified” [“s.n.” for “sine nomine”]

• Prefer to give a probable place, e.g., [United States?]
Prominent RDA Features: What Makes Sense to the User

For single statement naming more than one person, family, or corporate body, AACR2 “rule of 3” no longer applied:

- Transcribe as found

- Option: transcribe first name and give summary phrase in brackets

  e.g.:

  245 $a ... / $c by J. Douglas [and six others]
Prominent RDA Features

General Material Designation (GMD) ($h$ in MARC 245) replaced by three new elements: Content, Media, and Carrier
RDA Attribute for Expression

**Content** – a categorization reflecting the fundamental form of communication in which the content is expressed and the human sense through which it is intended to be perceived, e.g., text, spoken word, two-dimensional moving image, cartographic tactile image.

New field: MARC 336 – Content
RDA Attribute for Manifestation

**Media** – a general type of intermediation device required to view, play, run, etc., the content of a resource, e.g., audio, computer, microform, microscopic, projected, video.

Note: If no device is needed to perceive content, then add the data value “unmediated.”

New field: MARC 337 – Media
RDA Attribute for Manifestation

**Carrier** - a categorization reflecting the format of the storage medium or the housing of a carrier in combination with the type of intermediation device required to view, play, run, etc., the content, e.g., volume, videodisc, audio disc, microfilm reel, online resource.

New field: MARC 338 – Carrier
Content/Media/Carrier for a textual monograph

For an electronic resource that is a textual monograph (i.e., a typical, online government report):

• Content - text
• Media - computer
• Carrier - online resource
For a videorecording on DVD that features a U.S. Fish & Wildlife Service field study of seabirds:

- Content - two-dimensional moving image
- Content - sounds
- Media - video
- Carrier - videodisc
Content/Media/Carrier for a streaming video with transcript

For a streaming video online that features interviews of Secretaries of State, accompanied by a transcript:

• Content - two-dimensional moving image
• Content - spoken word
• Content - text
• Media - computer
• Carrier – online resource
Fat-free and low fat milk, whole grains, feeding young children.

At head of title on disc label: Maximizing the message, video series


1 DVD video (7 min., 38 sec.): sound, color; 4 3/4 in.

A two-dimensional moving image

Video [2 rdamedia]

Computer [2 rdamedia]

Videodisc [2 rdacarrier]

System requirements: PC with multimedia software players such as RealPlayer or Windows Media Player; DVD Player.

Title from disc label.

"June 2012"—Disc label.

Closed-captioned.

Children

Milk

Grain

RDA Elements in MARC (cont’d.)

- 040 $e rda (usually used with LDR/18 i for ISBD)
- X00, X10, $e – Relationship Designator
- 78X, $i – Relationship Designator
- 264 – Production, Publication, Distribution, Manufacture, and Copyright Notice
040-Cataloging Institution $b eng $e rda

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X00, X10, $e relator terms

0860 |a GA 1.5/2:GAO-13-132 T
1001 |a White, James R., |d 1949- |e author.

24510 Organization, Efficiency and Financial Management, Committee on Oversight and Government Reform, House of Representatives / |c statement of James R. White.

24630 |a Total extent of refund fraud using stolen identities is unknown
300 |a 1 online resource (11 pages) : |b color illustrations.
336 |a text |2 rdacontent
337 |a computer |2 rdamedia
338 |a online resource |2 rdacarrier
4901 |a Testimony ; |v GAO-13-132T
500 |a Title from title screen (viewed Jan. 28, 2013).
500 |a "For release ... November 29, 2012."
504 |a Includes bibliographical references.
650 0 |a Tax refunds |x Corrupt practices |z United States.
650 0 |a Identity theft |z United States.
650 0 |a Identification cards |x Forgeries |z United States.
7101 |a United States. |b Congress. |b House. |b Committee on Oversight and Government Reform. |b Subcommittee on Government Organization, Efficiency, and Financial Management |e sponsoring body.
7101 |a United States. |b Government Accountability Office |e author, |e issuing body.
820 0 |a Testimony ; |v GAO-13-132T
Our Old Friends Remain

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<td>086 – SuDoc</td>
<td>Required</td>
</tr>
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<td>245 – Title/SOR</td>
<td>Required</td>
</tr>
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<td>490 – Series</td>
<td>Required if relevant</td>
</tr>
<tr>
<td>500 – Format not distributed</td>
<td>Required if relevant</td>
</tr>
<tr>
<td>856 – PURL / URL</td>
<td>Required for online</td>
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</table>
GPO’s Implementation Plan

• LSCM began to plan for the implementation of RDA in the summer of 2011
• Train all Bibliographic Control staff in the use of RDA for bibliographic and authority records
• Test the RDA configurations in the Integrated Library System (ILS)/CGP
• Provide a test set of RDA records to the Cataloging Records Distribution Project (CRDP) vendor and all bibliographic record sales customers
GPO’s Implementation Plan

• Receive approval from BIBCO to begin producing RDA BIBCO records
• Receive approval from the Program for Cooperative Cataloging (PCC) to begin producing RDA authority records
• Begin producing RDA bibliographic and authority records in the Spring of 2013
• Keep the Federal depository library community updated throughout the implementation
GPO’s Implementation Timelines

- First batch of RDA authority records for PCC NACO review submitted December 2012
- Test set of RDA records provided to all sales customers early January, 2013
- Test set of RDA bibliographic records for BIBCO review by PCC BIBCO submitted January 2013
- Full implementation of RDA April 1, 2013
- ICohere session for the depository community April 16, 2013
GPO’s Policies/Practices for RDA

RDA 2.9 Distribution Statement

Distribution data are core elements for a resource in a published form if publication data are not identified.
GPO’s Policies/Practices for RDA

LC-PCC PS for 2.9, DISTRIBUTION STATEMENT

LC practice: If distribution data elements are being given in lieu of missing publication data elements, give a complete distribution statement.
GPO’s Policies/Practices for RDA

GPO policy for Distribution Statement

GPO records a GPO (or any other) sales/distribution statement that appears on the item being cataloged, in addition to the publication statement.
<table>
<thead>
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<td>Congressional Publications</td>
<td>Preferences</td>
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<tr>
<td>Historic Shelflist</td>
<td>Results list</td>
</tr>
<tr>
<td>Internet Publications</td>
<td>Previous Searches</td>
</tr>
</tbody>
</table>


Full View of Record

Choose format: Standard | Short | MARC

Record 4 out of 2045

Title | Addressing the costly administrative burdens and negative impacts of the Carcieri and Patchak decisions: hearing the Committee on Indian Affairs, United States Senate, One Hundred Twelfth Congress, second session, Septemb 2012.

Format | volume


GPO’s Policies/Practices for RDA

Transcription vs. Omission of Statements of Responsibility

RDA 2.4.1.5, Optional Omission:

If a single statement of responsibility names more than three persons, families, or corporate bodies performing the same function, or with the same degree of responsibility, omit all but the first of each group of such persons, ...
GPO’s Policies/Practices for RDA

Generally, GPO follows RDA 2.4.1.5, Optional Omission:

| 035 | a (OCoLC)827228429 |
| 040 | a GPO |b eng |e rda |c GPO |
| 042 | a pcc |
| 043 | a n-us-md |
| 074 | a 0624-B-02 (online) |
| 0860 | a l 19.42/4-4:2012-5263 |
| 1001 | a Dieter, Cheryl A. |e author. |
| 24510 | a Hydrogeologic framework, hydrology, and water quality in the Pearce Creek dredge material containment area and vicinity, Cecil County, Maryland, 2010-11 / |c by Cheryl A. Dieter [and four others]. |
| 300 | a 1 online resource (xiii, 219 pages) : |b color illustrations, color maps. |
| 336 | a text |2 rdacontent |
| 337 | a computer |2 rdamedia |
| 338 | a online resource |2 rdacarrier |
GPO’s Policies/Practices for RDA

Transcription vs. Omission of Statements of Responsibility, cont’d.

Exception: For Congressional documents, LC-PCC PS Option for RDA 2.4.1.5 is applied:

... do not omit names in a statement of responsibility.
GPO’s Policies/Practices for RDA

• For resources issued in more than one format or as reproductions, RDA recommends “Reproduction of (manifestation),” but GPO follows PCC policy for the relationship designator in the “Additional Physical Form Entry” field:
  – 776 $i Print version:
  – 776 $i Online version:
  – 776 $i Microfiche version:

• (see RDA Provider-Neutral Guidelines for Serials and Monographs)
New MARC fields in Authority Records

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<tr>
<th>Field</th>
<th>Description</th>
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<tr>
<td>046</td>
<td>Special Coded dates (R)</td>
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<tr>
<td>336</td>
<td>Type of Content [used only for expressions] (R)</td>
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<tr>
<td>368</td>
<td>Other corporate body attributes (R)</td>
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<td>370</td>
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<td>371</td>
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<td>372</td>
<td>Field of Activity (R)</td>
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<td>Associated Language (R)</td>
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<td>Fuller form of name (NR)</td>
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<td>380</td>
<td>Form of Work [used only for works] (R)</td>
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<tr>
<td>381</td>
<td>Other Distinguishing Characteristics of Work or Expression (R)</td>
</tr>
</tbody>
</table>
GPO’s Policies/Practices for RDA

General Guideline for Name Authority Records

Include optional fields in a name authority record whenever the information is readily available in the work being cataloged. No significant research needs to be done to find values for the optional fields in a name authority record.
GPO’s Policies/Practices for RDA

Guidelines for Name Authority Records, cont’d.

Recording Hierarchically Superior (aka Parent) Bodies

Record a hierarchically superior body in a 410 field, 510 field, or in both. An NAR with a cross reference through a parent body can also carry a related link to that same body, e.g.,

- 1101 United States. $b General Services Administration. $b Safety, Environment, and Fire Protection Branch
- 4101 United States. $b General Services Administration. $b National Capital Region. $b Safety, Environment, and Fire Protection Branch
- 5101 $i Hierarchical superior: $a United States. $b General Services Administration. $b National Capitol Region $w r

Prefer to include the 510 field, whether or not the 410 field is included. Do not utilize the 373 field for a parent body.
GPO’s Policies/Practices for RDA

Guidelines for Name Authority Records, cont’d.

MARC 373 – Associated Group

Prefer this field for groups having an uncertain or ambiguous relationship. Use the 4XX or 5XX field for hierarchically superior (parent) bodies.

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<td>370</td>
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<tr>
<td>372</td>
<td>Aircraft engine industry *a Ice prevention and control *2 lcsh</td>
</tr>
<tr>
<td>373</td>
<td>Society of Automotive Engineers *2 naf</td>
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</table>
Government Corporate Body

040 DGPO ‡b eng ‡c DGPO ‡d DGPO

046 ‡s 2011-01

110 1 United States. ‡b Congress. ‡b Senate. ‡b Committee on Agriculture, Nutrition, and Forestry. ‡b Subcommittee on Livestock, Dairy, Poultry, Marketing, and Agriculture Security

368 Agency

370 ‡c U.S. ‡e Washington, D.C.

371 328A Russell Senate Office Building ‡b Washington ‡c DC ‡e 20510 ‡d United States ‡v Committee on Agriculture, Nutrition, and Forestry web site, Jan. 23, 2013 ‡u http://www.ag.senate.gov/about/subcommittees

510 1 ‡h Predecessor: ‡a United States. ‡b Congress. ‡b Senate. ‡b Committee on Agriculture, Nutrition, and Forestry. ‡b Subcommittee on Domestic and Foreign Marketing, Inspection, and Plant and Animal Health

670 H-2A visa program, 2012: ‡b PDF t.p. (Subcommittee on Livestock, Dairy, Poultry, Marketing and Agriculture Security, Committee on Agriculture, Nutrition, and Forestry, U.S. Senate)

670 Agriculture, Nutrition and Forestry Committee web site, Jan. 23, 2013: ‡b menu: about, subcommittees (Subcommittee on Livestock, Dairy, Poultry, Marketing and Agriculture Security)

670 Phone call to Committee, Jan. 23, 2013 ‡b (Subcommittee on Livestock, Dairy, Poultry, Marketing and Agriculture Security formed with 112th Congress, previously named Subcommittee on Domestic and Foreign Marketing, Inspection, and Plant and Animal Health)

678 1 Oversight of the Food Safety mission area and the Marketing and Regulatory Programs mission area.
| 040 | DGPO $b eng $e rda $c DGPO |
| 046 | $g 20120912 |
| 100 | Stevens, John Christopher, $d -2012 |
| 370 | California $b Banghārī, Libya $c U.S. |
| 370 | $c Libya $s 2007 $t 20120912 |
| 370 | $c Morocco $s 1983 $t 1985 |
| 372 | Diplomacy $s Foreign relations $s 1991 $t 20120912 $g 2 lcsh |
| 373 | University of California at Berkeley $t 1982 $g 2 naf |
| 373 | Peace Corps (U.S.) $s 1983 $t 1985 $g 2 naf |
| 373 | Hastings College of the Law $t 1989 $g 2 naf |
| 373 | United States. Department of State $s 1991 $t 20120912 $g 2 naf |
| 373 | National War College (U.S.) $t 2010 $g 2 naf |
| 374 | Diplomats $s 1991 $t 20120912 $g 2 lcsh |
| 374 | Ambassadors $s 20120912 $t 20120912 $g 2 lcsh |
| 375 | male |
| 377 | eng $a ara $a fre |
| 378 | $q John Christopher |
| 400 | 1 Stevens, Christopher, $d -2012 |
| 400 | 1 Stevens, Chris, $d -2012 |
| 400 | 1 Stevens, J. Christopher $q (John Christopher), $d -2012 |

Accountability Review Board report for Benghazi, 2012: $b PDF $e p. 1 (U.S. Ambassador to Libya, John Christopher Stevens) p. 26 (BMC [Benghazi Medical Center] personnel would later report that at approximately 0116 local on September 12, an unidentified, unresponsive male foreigner - subsequently identified as Ambassador Stevens - was brought to the emergency room. Although the Ambassador did not show signs of life upon arrival at the BMC, doctors attempted to resuscitate him for some 45 minutes before declaring him deceased.)

Published: November 2012 $d (U.S. Ambassador to Libya, Christopher Stevens) p. 26 (BMC [Benghazi Medical Center] personnel would later report that at approximately 0116 local on September 12, an unidentified, unresponsive male foreigner - subsequently identified as Ambassador Stevens - was brought to the emergency room. Although the Ambassador did not show signs of life upon arrival at the BMC, doctors attempted to resuscitate him for some 45 minutes before declaring him deceased.)
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048  #s 20120109 #t 20120112  
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363  Conference  
370  #c U.S. #e Nashville, Tenn.  
372  Aerospace engineering #2 l:sh  
373  American Institute of Aeronautics and Astronautics #2 nat  
377  eng  
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To find RDA records in the CGP:

Welcome to the Catalog of U.S. Government Publications (CGP)! The CGP is the finding tool for federal publications that includes descriptive records for historical and current publications and provides direct links to those that are available online. Users can search by authoring agency, title, subject, and general key word, or click on "Advanced Search" for more options.

The catalog offers you the option to find a nearby Federal Depository Library that has a particular publication or that can provide expert assistance in finding and using related U.S. government information. Click on the title of interest from your search results list. Then click on the Locate in a Library link within the displayed record.

Also try our federated search engine MetaLib to retrieve reports, articles and citations by simultaneously searching across multiple U.S. Federal Government databases.

NEW: Please click here to view CGP records created based on the RDA (Resource Description and Access) cataloging standard. For more information, please see the Help page.
To find RDA records in the CGP:

Use the “Expert” search option and type the following command: **wcs=rda**
To find RDA records in the CGP:

Keyword search in Advanced Search option with (rdacontent, rdamedia, rdacarrier) + other criteria
To find RDA records in the CGP:

Keyword search with (rdaccontent, rdacarrier, rdamedia) and carrier term (videodisc, microfiche, online resource, etc.)
To find RDA records in the CGP:

Keyword search with limit by an available format
Full View of Record

**Title**  Fat-free and low fat milk, whole grains, feeding young children.

**Format**  videodisc


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**Contents**  Contents: Child feeding practices (2 min., 34 sec.) — Fat-free and low-fat milk (2 min., 44 sec.) — Whole grains (2 min., 36 sec.)

**Abstract**  Presents three nutrition messages which address important dietary behaviors influencing the health of mothers and children.

**System Details**  System requirements: PC with multimedia software players such as RealPlayer or Windows Media Player, DVD Player.

**Subject — LC**  Children — Nutrition.
| Milk — Health aspects. |
| Grain — Nutrition. |

**Added Entry**  United States. Food and Nutrition Service issuing body.
## Full View of Record

### CGP Public Display

**Choose format:** Standard | Short | MARC

**Record 5 out of 13**

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Resources for further study

RDA ToolKit

JSC (RDA’s Joint Steering Committee) Presentations

Catalogers Learning Workshop / Program for Cooperative Cataloging, Library of Congress
Resources for further study

*Cataloging and RDA Webinars* / Association for Library Collections & Technical Services

*RDA Elements in NARs-SARs*

*PCC and RDA: Frequently Asked Questions* / Library of Congress
Questions??

askGPO
http://www.gpo.gov/askgpo/

Reference “RDA webinar” in your subject line