



FEDERAL
DEPOSITORY LIBRARY

CONFERENCE

#FDLConference

2019

OCTOBER 21-23
ARLINGTON, VA



The National Collection of U.S. Government Public Information

The 5Ws + H Formula

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Why do we need a National Collection of Government Public Information?



Digital Age

- Government information is a national asset
- Shipping fewer tangibles to FDLs
- Depositories are weeding collections
- More depositories are becoming digital-only
- GPO is curating a digital collection
- Public has a right to access its Government's information
- Government has an obligation to preserve its information, regardless of format



National Plan Sets the Stage for Progress

- Establish processes and procedures that apply lifecycle management best practices while ensuring permanent public access to Government information products
- Continue to add records for pre-1976 and current publications to the *Catalog of U.S. Government Publications*
- Develop a preservation program within the LSCM business unit of GPO
- Digitize, through partnerships, the historical tangible collection of Government publications
- Depository libraries will have system tools and services that support workflow optimization.

National Plan for Access to U.S. Government Information



*A Framework for a User-centric Service Approach
To Permanent Public Access*

U.S. Government Publishing Office

February 2016

*User-centric Service Approach
To Permanent Public Access*



What is the National Collection of U.S. Government Public Information?

A geographically dispersed collection of the corpus of Federal Government public information, regardless of format or medium, produced by Federal employees or paid for with Federal funds. Declassified materials and materials whose privacy considerations have expired are included within scope of the National Collection.



Who manages the National Collection?

- Superintendent of Documents organization
 - Collection Development Librarian
 - Collection Management Librarian **NEW**
 - Catalogers
 - Technical Services Librarians
 - Preservation Librarian
 - Digital Preservation Librarian
- Federal depository libraries
 - Depository Coordinators and often other library staff
 - Guidance from GPO



Organizational Infrastructure



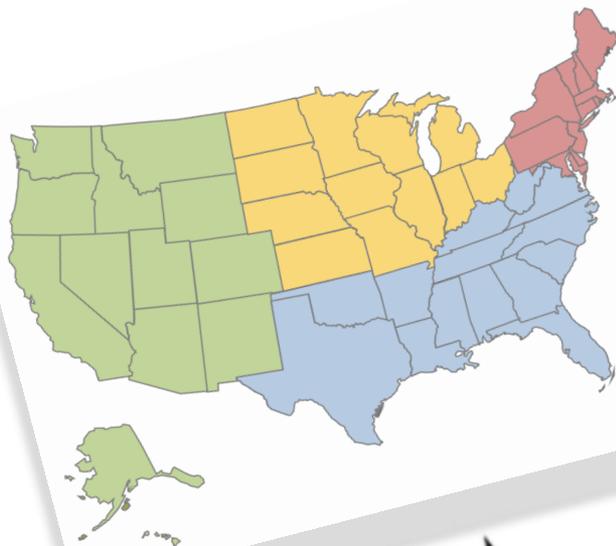
GPO Stepping Up to the Next Level

We do have a collection — it's distributed

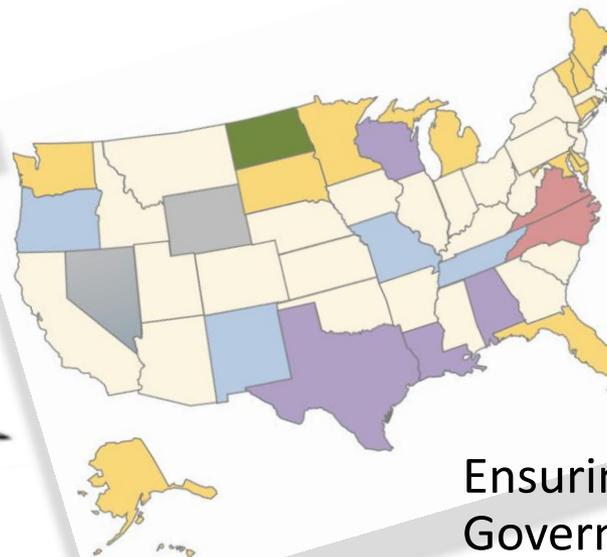
- GPO curates a digital collection
- The tangible portion resides in every one of your Government publications collections (unless you're a digital depository)
- Depository libraries are stewards for the tangible materials in their collection of Government publications, which remain the property of the Federal Government



We are in this together!



Ensuring future generations have access to Government information (GPO)



Ensuring community Government information needs are met (FDLs)





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Collection Development

“Building a collection in response to institutional priorities, community, or user needs and interest” — or in some cases statutory requirements



The Superintendent of Documents ... shall prepare and publish a comprehensive index of public documents

The Director of the Government Publishing Office shall, deliver a copy of every document printed by the Government Publishing Office. The head of each executive department, independent agency and establishment of the Government shall deliver to him a copy of every document issued or published by the department, bureau, or office not confidential in character. He shall also prepare and print in one volume a consolidated index of Congressional documents, and shall index single volumes of documents as the Joint Committee on Printing directs.



What's in the National Collection?

Thinking beyond the traditional depository library collection

- Tangible Collections
 - FDL Government publications
 - Shared Housing Agreements
 - Preservation Steward collections
 - Fugitives
- Digital Collections
 - **govinfo**
 - Content harvested by GPO
 - Content hosted on agency websites
 - Fugitives
- GPO Partner content

Who Can Be Partners?

- Federal depository libraries
- The Library of Congress
- National Archives and Records Administration
- U.S. national libraries
- Federal agency libraries
- State libraries
- Law Libraries
- Library consortia
- Associations
- Public and private sector enterprises
- Others interested in Government information preservation



Changing Scope of FDLP Eligibility

Date	Description Of Change
1813	House & Senate Journals and other Congressional documents
1895	Addition of executive departments publications
1938	Addition of congressional hearings, bills, resolutions, and reports on private bills
1962	Addition of publications not printed at GPO
1977	Addition of microfiche
1990	Addition of 5 1/4" floppy disks
1992	Addition of 3 1/2" floppy disks
1988	Addition of CD-ROMs and DVDs
1994	Addition of online publications
1994	Addition of websites
1996	First Internet publication cataloged for which there was no tangible product distributed to depository libraries
2018	Addition of Congressional Research Service reports



Libraries Select and Collect





Building the Collection Through Agency Outreach

- Increasing engagement with Federal agencies
- Improving processing workflows for Document Discovery
- Visits to Federal libraries that are also Federal depository libraries to brainstorm ways to increase agency submissions of content

GPO *and* the agency benefit from agency compliance under Title 44



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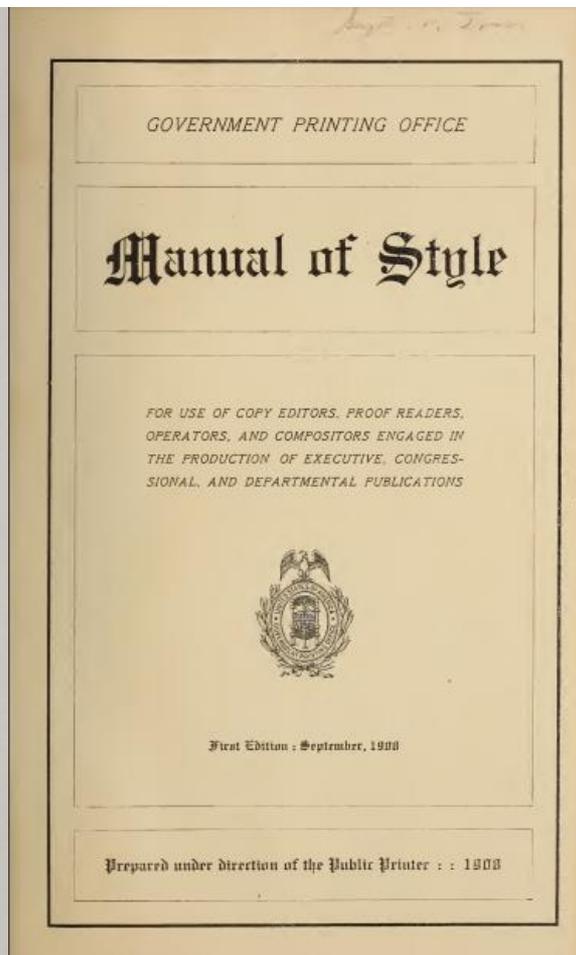
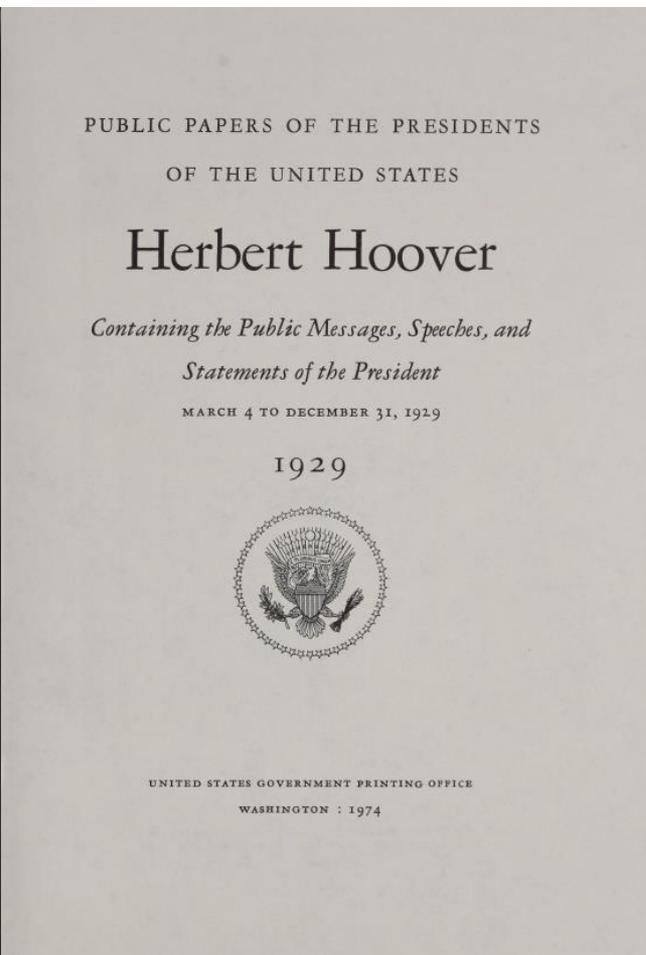
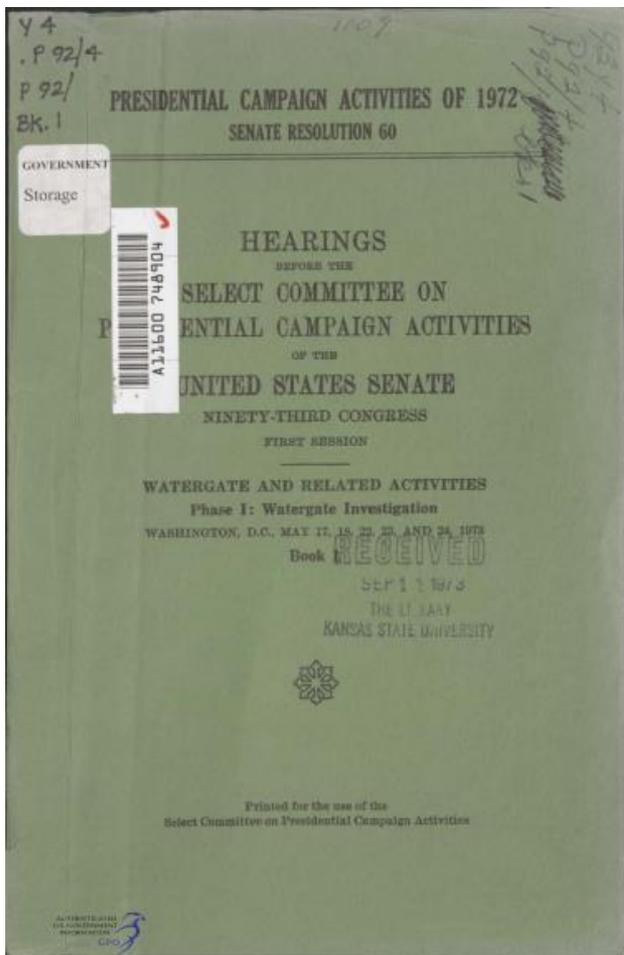
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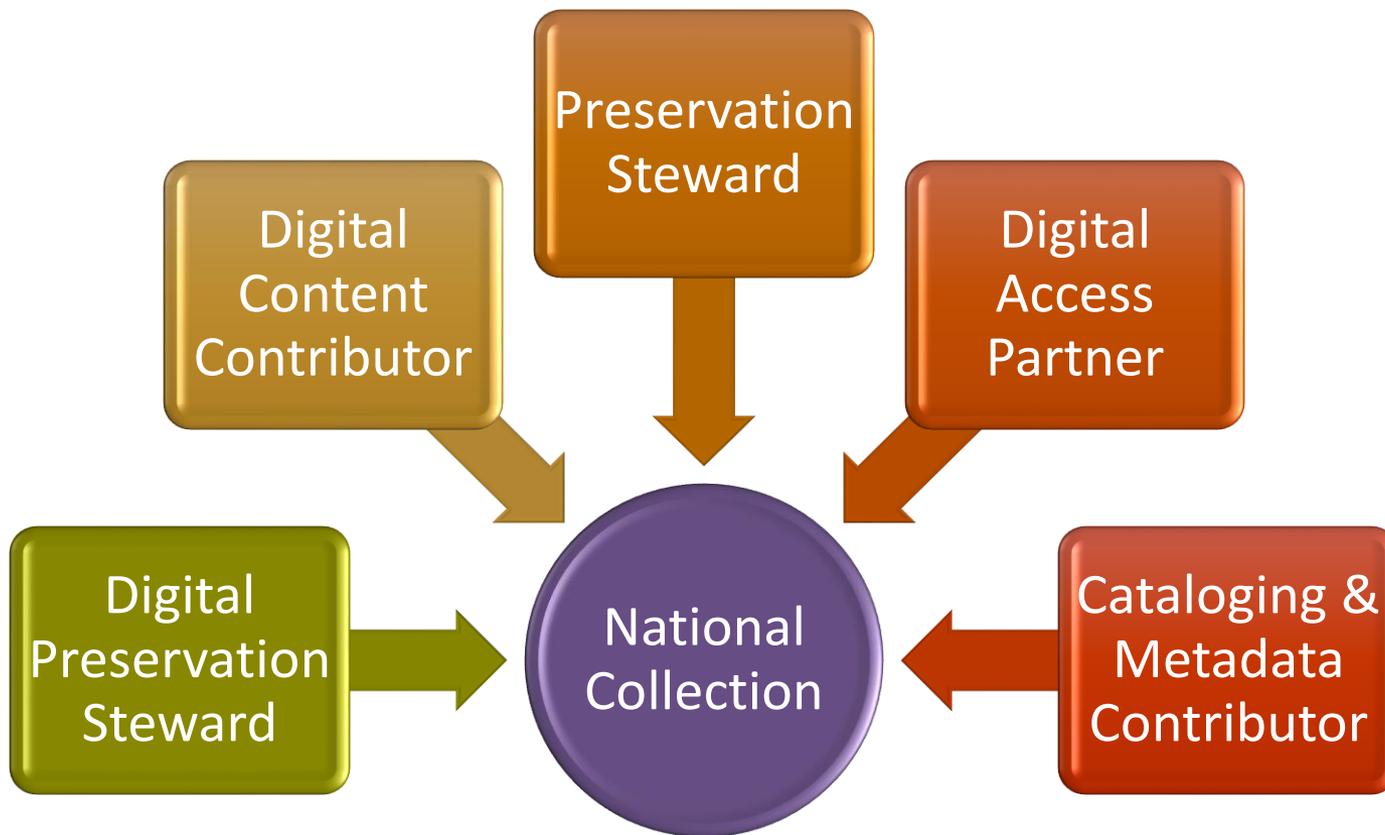


Building the Collection Through Digitization





Building the Collection Through Partnerships





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Collection Management

“Deciding what to do after the collection is developed”



Collection Management Services at GPO

Preservation

- Collection condition assessment
- Disaster preparedness, response, and recovery planning
- Collection care and conservation
- Consultation
- Preservation training
- Digital reformatting
- Maintain **govinfo** as a TDR

Cataloging and Metadata Services

- Cataloging Record Distribution Program
- Bibliographic access
- Record sets on GitHub
- Cataloging partnerships
- Records and eBooks delivered to DPLA
- Catalog of U.S. Government Publications



Collection Management Services at GPO

- Collection evaluation and maintenance
 - Collection assessment
 - Community or user needs assessment
 - PURL referral reports
 - Collection development planning
 - Weeding
 - FDLP eXchange
 - Inventory
- Marketing



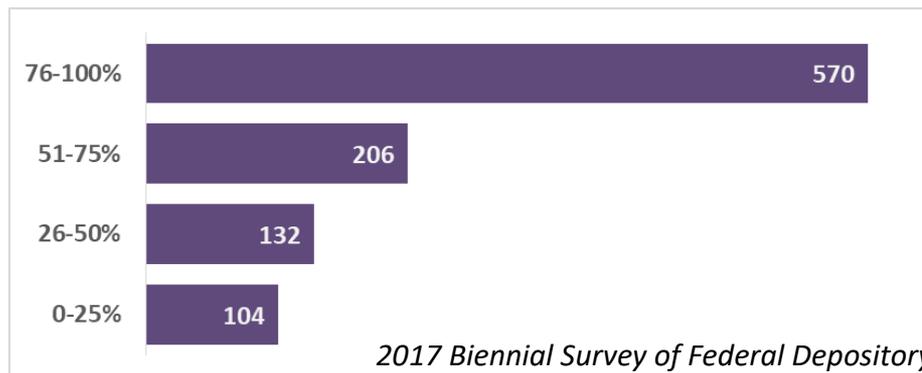
Collection Management in FDLs

Depository Library Collections Characteristics

Comprehensive research collection (regional or minimal weeding of tangible publications)	133
Blend of current and retrospective holdings (some weeding of tangible collection, performed on a regular or as needed basis)	785
Mostly current, 5-year collection (regular weeding of tangible publications)	141
Mostly digital collection	229
All digital collection (with no tangible publications)	22

2017 Biennial Survey of Federal Depository Libraries, Q7

Estimate of Cataloged Tangible Collection



2017 Biennial Survey of Federal Depository Libraries, Q23



Collection Management in FDLs

Weeding — My library plans to weed to:

Remove all material when online equivalents are available	94
Remove some material when online equivalents are available	465
Remove all superseded material	322
Remove some superseded material	308
Remove all tangible depository material	42
Remove some tangible depository material	422
Remove most of the tangible depository material	82
N/A – We have recently completed a weeding project, collection requires minimal maintenance	152
N/A – We are not planning on weeding the tangible depository collection	143

2017 Biennial Survey of Federal Depository Libraries, Q22

FDLP eXchange

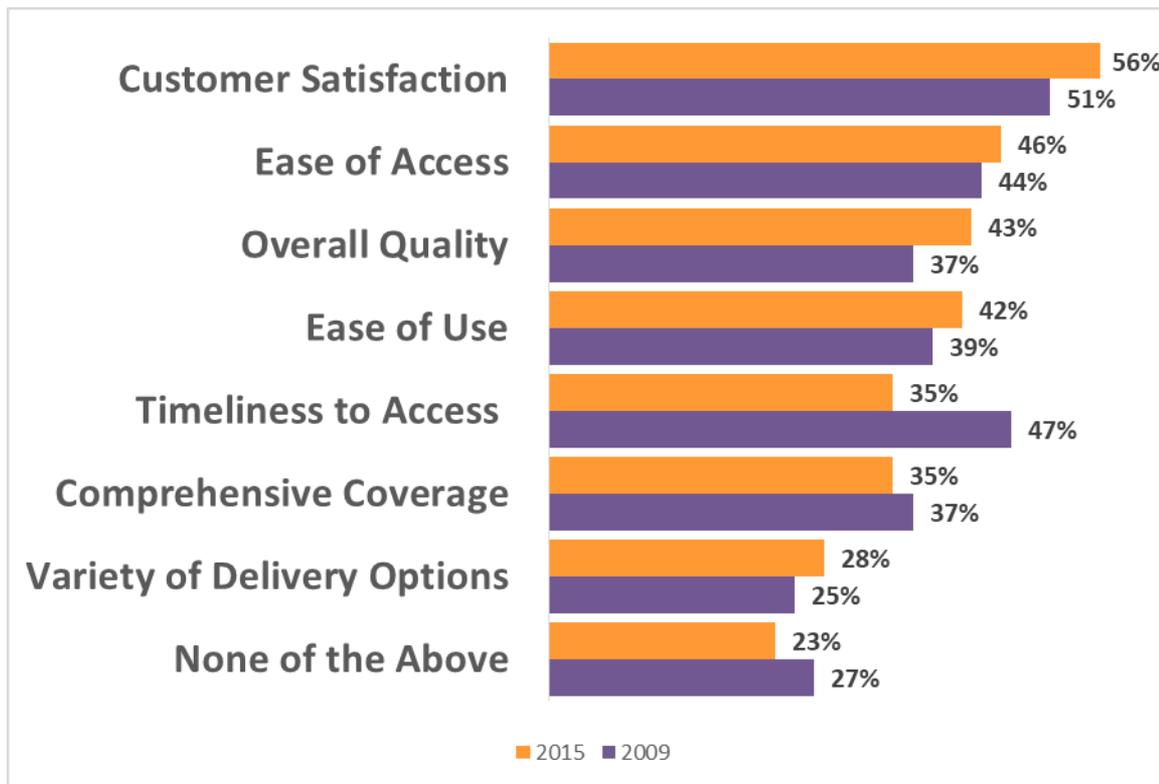
	FY 2019	Since 06/2018
Needs Entered	17,147	53,156
Offers Entered	355,202	434,446
Training Webinars/Webcasts	14	19
Training Sessions	21	29



Collection Management in FDLs

Continued

Which of the following performance metrics, if any, do you measure related to your library's content collection usage?



2017 BIENNIAL SURVEY — 249 depositories reported they conducted **needs assessments** or user satisfaction surveys, while another 37 depositories reported they conducted **impact studies** or surveys



Collection Management in FDLs

Continued

Preservation/conservation services

Library has a preservation/conservation program	76%
Library digitizes Federal publications	9%
Library harvests or captures content from agency websites	10%

2015 Biennial Survey of Federal Depository Libraries, n=1,139

Partnerships

Preservation Stewards	40
Digital Preservation Stewards	1
Digital Content Contributors	5
Digital Access Providers	14
Cataloging and Metadata Contributors	2



Biggest CM Issues Facing Depositories

Use of physical space	672
Change in collection scope	234
Changes in user groups	151
Insufficient number of computers	28
Internet access	18
Library remodeling	275
<i>Building/facilities or infrastructure</i>	14
<i>Technology (includes migrating to a new ILS)</i>	10
<i>Collection management</i>	10
<i>Disaster or disaster recovery</i>	4



FDL Near-Term Plans Related to CM

Become a digital depository	119
Digitize a collection of historic U.S. Government publications	46
Retrospectively catalog depository resources	226
Weed depository resources extensively	204
Weed depository resources selectively	507
Inventory physical collections	244
Reclassify materials	60
Integrate depository resources into other collections	92
Move FDLP material to a new location	87



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Challenges

“Decide to meet the challenges, to solve the problems, to offer real improvements, and to change life for the better.” —Ralph Marston



What Challenges Do We Face With National Collection?

A lot of unknowns:

- Corpus of Government documents in scope of the FDLP and C&I
- Number of U.S. Government agencies
- Public information published each year
- Holdings in Federal depository libraries and difficult to inventory
- Condition of those holdings

SOURCE	# AGENCIES
Unified Agenda	61
Administrative Conference of the United States	115
FOIA.gov (at Department of Justice)	252
2016 Federal Register index	272
Regulations.gov	292
United States Government Manual	316
Federal Register agency list	440
USA.gov tally	443



Challenges

Continued

- Library collections are evolving —
 - Libraries weeding
 - Becoming digital-only
 - Leaving the FDLP
- Transforming the FDLP
- Getting GPO into the disposition of materials loop
- Resources
 - Staffing levels
 - Budget
- Legislative changes



Offer Real Improvements —

COLLECTION DEVELOPMENT

Comprehensive National Collection of U.S. Government
Public Information

CATALOGING AND INDEXING

A discoverable and accessible National Collection for the
Federal Depository Library Program and the general public

PRESERVATION

Ensuring permanent public access and that we do not lose
critical materials

REFORMATTING

Digitization



Change Life for the Better



Government information will be available when and where it is needed ... to ensure an informed citizenry and provide an improved quality of life



How can depository libraries help?

- Thoughtful weeding, think of the bigger picture as part of a national collection
- Use FDLP eXchange
- Review GPO's Needs Lists
- Review the [Collection Development Plan](#) for **govinfo**
- Notify GPO (<https://www.fdlp.gov/contact-us>)
 - If you want to be partner
 - If you are weeding and have materials GPO is looking to digitize
 - If you identify fugitive publications
- Continue the excellent job you are doing in stewarding and providing service to your depository collection



Housing Materials Where They're Needed



FDLP eXchange

LIBRARY ACCOUNT

HELP SECTION



Dashboard

Reports

Input

My eXchange

Needs & Offers Repository

Advanced Search

View

Devel

GENERATE A REPORT

INPUT NEEDS

INPUT OFFERS

My Needs

Nomination of Julius C. Holmes : hearings before the Committee on Foreign Relations, United States Senate, Eighty-seventh Congress, first session, on the nomination of Julius C. Holmes to be Ambassador to Iran, April 10, 20, and 21, 1961.

Classification #: Y 4.F 76/2:H 73/961

Library: GPO Library

Handling of discrimination complaints in the Senate : hearing before the Committee on Governmental Affairs, United States Senate, Ninety-fifth Congress

New Items in the Repository

0

within the last week

VISIT REPOSITORY



Supporting GPO Digitization

<https://libguides.fdlp.gov/GPOdigitization>

[Federal Depository Library Program](#) / [LibGuides](#) / [FDLP Information](#) / [GPO Digitization](#)

GPO Digitization: GPO Needs Lists

GPO Digitization

GPO is actively digitizing content, with an immediate focus on adding historical, retrospective content to existing **govinfo** collections. GPO may accept materials from depository libraries for digitization.

If your depository is weeding any of the titles listed here, and volumes are not claimed within your region, please contact us at FDLPoutreach@gpo.gov. If any of the materials meet our digitization needs, GPO will cover the cost of shipping the material to GPO.

GPO's needs are also listed in [FDLP eXchange](#), and will automatically match to any depositories that are offering nationally.

GPO Needs Lists

- [U.S. Congressional Serial Set](#)
SuDoc: Y 1.1/2:
Publication dates: 17th Congress, 2nd session-100th Congress, 2nd session (select volumes)
- [Congressional Hearings](#)
SuDoc: Y 4.
- [Congressional Directory](#)
SuDoc: Y 4.P 93/1:1/
Publication dates: 1809-1903 (select issues)
- [Pocket Congressional Directory/Congressional Pictorial Directory](#)
SuDoc: Y 4.P 93/1:1 P/
Publication dates: 82nd, 83rd and 102nd Congresses

Preferred Condition

GPO prefers to acquire materials in **Fine** or **Good** condition for digitization. Materials in Fair condition will be considered on a case-by-case basis, depending on the extent and location of wear and/or damage.



Where do we go from here?

NEW PROCEDURE – COMING SOON

When libraries relinquish their depository designation:

- GPO will remove item selections from the library's profile.
- The library will remain in the FDLP and provide access until the disposition of materials process is completed.
- Regionals and other selectives in the area served by the regional will have the first opportunity to obtain materials from library.
- GPO then will take over the rest of the disposition process:
 - Library will check regional depositories' needs lists on FDLP eXchange.
 - GPO will review the remaining collection with an eye for digitization and "at-risk" materials.
 - GPO will authorize the disposal of materials.



Where do we go from here?

Continued

- Implement the National Collection Services Pilots
 - Cataloging & Piece-Level Condition Assessment
 - Collection Condition Assessment
 - Disaster Preparedness, Response, and Recovery Plans
 - Collection Development Plans
 - Collection Inventories
 - Digital Deposit
- Ramp up what we're already doing
 - Web harvesting
 - National Bibliographic Records Inventory
 - Preservation Steward holdings in the CGP
 - Digitization
 - Shelflist conversion
 - Maintain TDR certification / annual surveillance audits

COMING SOON



Where do we go from here?

Continued

- Hire the Collection Management Librarian
- Develop the next edition of the National Plan
- Look for new and innovative ways GPO and depository libraries can collaborate to develop and manage the National Collection of U.S. Government Public Information



When will this all happen?

- Draft disposition procedures for libraries relinquishing their depository designation this fiscal year
- The Collection Management Librarian position was advertised and the application deadline is now passed and we hope to have someone on board this fiscal year
- The Cataloging and Piece-Level Condition Assessment Pilot will begin this fiscal year
- Planning will begin for the other pilots
- We are already continuing and ramping up our day-to-day operations



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Questions?

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