



Library Services & Content Management

FY2010 YEAR IN REVIEW

TABLE OF CONTENTS

- 1 Welcome Letter
- 3 Federal Depository Library Program
- 14 Cataloging & Indexing Program
- 17 By-law Program
- 18 International Exchange Service (IES)
- 19 A Look at Metrics
- 19 Looking Ahead to FY 2011

WELCOME LETTER FROM THE DIRECTOR OF LSCM

Dear FDLP Partners:

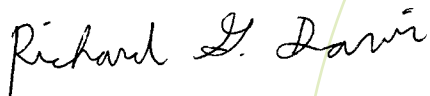
As FY 2010 comes to a close, we want to share with you this year's major accomplishments within Library Services and Content Management (LSCM). The initiatives highlighted here not only reflect the progress made in FY 2010 but serve to map LSCM's work toward the future of the FDLP.

LSCM is responsible for the current and future access to published U.S. Government information. Operations are divided into four main categories: (1) the Federal Depository Library Program, (2) Cataloging & Indexing, (3) Distribution of Government publications to the International Exchange Service, and (4) Distribution of certain Government publications to Members of Congress and other Government agencies, as mandated by law. In this Year in Review, you will read about accomplishments in all four areas.

As GPO continues to transform for the future, we maintain our steadfast commitment to Keeping America Informed and permanent public access. We do that, in part, through our strong partnership with the Federal depository library community.

As always, thank you for your continued commitment to the Federal Depository Library Program.

Best Regards,

A handwritten signature in black ink that reads "Richard G. Davis". The signature is written in a cursive style.

Ric Davis
Director, Library Services & Content Management

FEDERAL DEPOSITORY LIBRARY PROGRAM



The FDLP continues to provide the public with access to U.S. Government publications in tangible documents maintained by designated Federal depository libraries and with direct online access through the Federal Digital System (FDsys) at www.fdsys.gov. The following FY 2010 accomplishments are in direct support of the FDLP.

The Federal Digital System (FDsys)

FDsys automates the collection and dissemination of electronic information from all three branches of government.

Information is:

- Submitted directly into FDsys
- Permanently available in electronic format
- Authenticated and versioned
- Publicly accessible for searching and downloading

FDsys is a Content Management System

- FDsys securely controls digital content throughout its lifecycle to ensure content integrity and authenticity

FDsys is a Preservation Repository

- FDsys follows archival system standards to ensure long-term preservation and access of digital content

FDsys is an Advanced Search Engine

- FDsys combines extensive metadata creation with modern search technology to ensure the highest quality search experience

FY 2010 saw immense progress toward the completion of FDsys. The foundational system has been put into place, along with the Content Management System, Preservation Repository, and search component.

Federal Depository Library Program

All 40 GPO *Access* content collections have been migrated to the system.

Full system failover is expected by the end of December 2010. At that time, FDsys will become the electronic system of record, and GPO *Access* will be shut down. Until then, the two systems will run in parallel.

FDLP Consultant

LSCM is continuing the strategic planning process for the future of the FDLP. Identified goals are to determine a sustainable model or models for delivering FDLP collections and services to the American public in the digital era and ensuring the public has systematic and secure access to Federal Government information in the 21st century and beyond.

The desire for an informed and impartial consultant to perform program modeling has broad support across the depository library community and was articulated in a recommendation by the Depository Library Council after its Spring 2009 meeting. GPO sought and received funding for library program consulting and modeling services. Following the Federal procurement process, Ithaka S + R was awarded the contract.

GPO CONTINUES TO BE A LEADER WITHIN THE FEDERAL GOVERNMENT FOR DOCUMENT AUTHENTICATION.

Authentication

To help meet the challenge of the digital age, GPO has been implementing digital signatures to electronic documents on GPO *Access* and FDsys that not only establish GPO as the trusted information disseminator, but also provide the assurance that an electronic document has not been altered since GPO disseminated it.

A digital signature, viewed through the GPO Seal of Authenticity, verifies document integrity and authenticity on GPO online Federal documents, at no cost to the customer.

FDsys is continuing with the essential work of authenticating U.S. Government online publications that began with GPO *Access*.

A workshop was hosted at GPO in June 2010 pertaining to Document Authentication. GPO stakeholders from the library community, academia, and Federal Government agencies were invited to attend. The objective of the workshop was to facilitate input from stakeholders related to:

1. User community requirements for different levels of authentication assurance on the same content (some parties willing to use less robust means and others requiring the current digital signature approach).
2. Standards and techniques that should be used for native XML authentication.
3. Standards and techniques that should be used for “Chain of Custody” (if content originators support it).
4. User community requirements for “Granular Authentication”.

This fall, GPO plans to host an Industry Day to gather information on technology options for XML digital signatures that would be of use to GPO and our end user community and stakeholders.

GPO continues to be a leader within the Federal Government for document authentication. The transcripts and summary documentation from these events is available at <http://www.gpoaccess.gov/authentication/>.

Legacy Systems Modernization

GPO has made significant progress related to the migration, enhanced stabilization, and failover capabilities for GPO legacy systems. Funding was received for FY 2010 to begin this work and has also been requested for FY 2011 to continue these initiatives.

GPO is continuing to make progress on the migration of all legacy applications to current and stable hardware solutions. The WEBTech Notes migration

was completed in the spring of this year, followed by additional enhancements enabled for more efficient workflow processing.

Additionally, the PURLs migration was completed in the fall of this year, enabling full implementation and launch of the enhanced application. This transition has many benefits. The system architecture is more robust, which transitioned from a flat text database file to a SQL database. The system now completes immediate system back-up through synchronization and engages in immediate system failover, with geographically separated primary and backup servers. Other benefits include statistical reporting, Web referral reporting, and improved speed for resolution of redirects.

For the Lighted Bins and Federal Bulletin Board (FBB) legacy systems, GPO has enabled enhanced back-up and failover systems. Likewise, cross-training has been conducted on the maintenance of mainframe systems to ensure continuity of operations and to fully document current workflow processes to enable overall system migration.

A capability mapping was completed based on current GPO enterprise systems for the Requirements Document that encompasses requirements for migration of the following legacy systems: DDIS, ACSIS, ADDS, Item Lister, and Amendment of Item Selection. The new system will be called "Library Information System Transformation (LIST)." In addition, a statement of work (SOW) was developed and released to vendors, with a contract award made in September of this year.

PURL Architecture Migration

GPO initiated the use of persistent uniform resource locators (PURLs) to provide permanent URLs to Federal publications in January 1997. In its use of PURLs, GPO identifies digital publications and resources on Federal Web sites and downloads a copy for posting to its own data repository. The initial PURL record links to the publication on the host Federal Web site. If the publication is no longer accessible on the agency's Web site, GPO staff modify the PURL and link to the copy on GPO's own data repository.

During its implementation at GPO, the PURL application has had two major events that required rebuilding the application, the first in 2003 and the other in 2009. In August 2009, the PURL application suffered a significant hardware failure, which resulted in the loss of the entire application. Based on a legacy backup of the application and other current files, the application was eventually recreated. The PURL server was back online in September 2009. No PURLs were lost in this event.

This fiscal year, the PURL Server architecture was upgraded by the OCLC-paid developer "Zepheria." This fall, GPO migrated to this new architecture (PURLS to PURLZ.)

The transition boasts many benefits:

- A more robust system architecture (from a flat text database file to a SQL database);
- Immediate system back-up through synchronization;
- Immediate system failover (geographically separated primary and back-up servers);
- Statistical reporting (PURL referral and system monitoring);
- Web referral reporting; and
- Improved speed for resolution of redirects.

2009 Biennial Survey and Needs Assessment Survey

In FY 2009, LSCM developed and implemented a formal Customer Relations Program (CRP) for Federal depository libraries. The CRP allows LSCM to better serve depository library needs based upon unique characteristics (e.g., library segment) and data analysis. It also allows LSCM to monitor and document business operations to ensure provision of appropriate customer care, response to mission requirements, and solicitation of feedback from depository libraries on performance.

GPO contracted with Outsell, Inc. to develop and administer data gathering activities. As a first step, in 2009, the Segmentation Survey was conducted to develop an understanding of the number and

characteristics of various types of libraries that make up the Federal Depository Library Program and to map these libraries to specified library types.

As a follow-up to the Segmentation Survey, in FY 2010, the Needs Assessment was conducted and incorporated into an abbreviated 2009 Biennial Survey.

The Needs Assessment was conducted to determine how well GPO is providing services to depositories and how to better support depository needs.

The Biennial Survey is required of all libraries as it provides the tool for libraries to fulfill their legal requirement to report conditions of their library to the Superintendent of Documents every two years.

Some highlights of the survey include:

- 92% of respondents plan to remain in the FDLP
- 7% are reconsidering their designation
- Nine regional depositories are considering changing designation to selective depository.
- More than 80% of respondents reported having piece-level records for online books and serials.
- 37% of libraries reported an interest in receiving digital files on deposit.

The full report with aggregate overview, segmentation analysis, and individual responses is available at <http://www.fdlp.gov/home/about/723-crp-segmentation-needsassessment>.

In July 2010, an OPAL session was conducted entitled, “Needs Assessment and 2009 Biennial Survey: What Did We Learn?” This archived session is available at <http://www.fdlp.gov/outreach/onlinelearning/68-opal>.

Disposition of Depository Materials in Federal Depository Libraries

GPO has undertaken a project to make the disposition of materials process a more effective and efficient process for both selective and regional depository libraries and GPO.

GPO planned to accomplish this project by 1) identifying disposition best practices and conveying these to the depository library community and 2) streamlining the disposition process for libraries by

providing a tool that incorporates automated processes where applicable.

This fiscal year has seen great progress in this endeavor. GPO staff presented best practices and legal requirements at a Fall Council session in October 2009. Additionally, a Fall Council session conducted to obtain input to requirements for a Needs & Offers automated tool.

Soon after that, an OPAL session was conducted to gather input from those who could not attend the Fall Council session. The session was entitled “Discards, Needs, & Offers—Oh My! What’s One to Do?” It is available at the GPO OPAL archive, which is accessible at <http://www.fdlp.gov/outreach/onlinelearning/68-opal>.

Other progress includes the completion and posting of a Concept of Operations and Requirements Document on the FDLP Desktop for comment this past summer. Additionally, a Statement of Work (SOW) was completed. Visit the project page on the FDLP Desktop for more information at <http://www.fdlp.gov/home/about/668-dispositionofdepositorymaterials>.

Registry of U.S. Government Publication Digitization Projects

The Registry of U.S. Government Publication Digitization Projects contains records for projects that include digitized copies of publications originating from the U.S. Government. It serves as a locator tool for publicly accessible collections of digitized U.S. Government publications; increases awareness of U.S. Government publication digitization projects that are planned, in progress, or completed; fosters collaboration for digitization projects; and provides models for future digitization projects.

GPO, in collaboration with the Depository Library Council, identified the opportunity for several enhancements to the Registry.

This fiscal year, a plan was developed to address the full scope of the possible modifications, including both long and short-term possibilities. Several modifications were implemented, and it was determined that some modifications would require more complex solutions and systems for both LSCM as a provider and the

registry contributing members.

As a next step, content requirements were established, and work began. Currently, a revamp to the page is in process, and links to other relevant sites are being added.

Some minor changes have already been made to the existing the Registry page, such as the link to the Grants summary document. Additionally, work has been done on the FDLP Desktop to make the link to the registry more visible.

View the Registry of U.S. Government Publication Digitization Projects at <http://registry.fdlp.gov/>.

THIS FISCAL YEAR, GPO MADE THE NECESSARY PLANS TO ENSURE THAT FDsys WILL SERVE AS A CERTIFIED TRUSTED REPOSITORY.

Preservation

New Preservation Librarian at GPO

This fiscal year, David Walls, formerly of Yale University, joined GPO's team as the agency's first Preservation Librarian. Mr. Walls is working on numerous preservation-related issues, including working on preservation policies and procedures in LSCM and liaison activities with FDsys.

Collection Management Plan

The need for an updated Collection Management Plan (CMP) was identified to reflect changes in GPO's information dissemination from primarily print-based to digital publications.

In FY 2010, GPO staff have been working diligently on a revised CMP. The Plan will incorporate the change from GPO Access to FDsys as the primary content management system for the archiving and dissemination of digital library publications created by Federal agencies and established library partners.

Additionally, the CMP will serve as a policy framework on which specific procedures for the

development and life cycle management of both the tangible and intangible FDLP collection will be built.

FDsys Trusted Repository Audit and Certification

With the sunset of GPO Access, FDsys is scheduled to become the primary digital content management system for GPO, securing and providing access to digital Government information for the American people. FDsys will be heavily relied upon by Federal agency publishers and FDLP partner libraries to archive and host digital publications and harvested Web content. As digital repositories have become more important to libraries and archives in their role to preserve and provide digital information, a body of evidence has developed that defines what constitutes best practices for the design and management of a secure repository of digital content.

The Center for Research Libraries (CRL) has developed a set of metrics for digital repository certification called Trustworthy Repositories Audit and Certification (TRAC).

This fiscal year, GPO made the necessary plans to ensure that FDsys will serve as a certified trusted repository. GPO will be conducting an internal review of FDsys, according to the TRAC audit matrix. An audit of FDsys will require GPO's Preservation Librarian and Program Management Office staff to work together to assess and document FDsys' system architecture, ingest and archiving methodology, GPO's staffing and financial support for FDsys, as well as how well FDsys meets the needs of its user community.

After reviewing FDsys documentation, GPO will engage the services of CRL's Repository Audit and Certification Team to review FDsys documentation and conduct an independent external audit of FDsys. The CRL audit certification advisory panel includes known leaders in collection development, preservation, and information technology.

GPO's FDsys is scheduled to be the first content management system from a US Federal Government organization certified to be a trusted digital repository.

Federal Depository Library Program

LOCKSS Alliance

Also this fiscal year, GPO joined a worldwide digital preservation alliance to further collaborate with Federal depository libraries and other organizations on preservation initiatives. LOCKSS (Lots of Copies Keep Stuff Safe) provides libraries with digital preservation tools and support to collect and preserve their own copies of authorized electronic content.

By joining LOCKSS, GPO has been afforded the opportunity to share the preservation initiatives the agency has taken with FDSys and explore additional opportunities with the FDLP.

FDLP Marketing Efforts

In continuing its efforts to spread awareness of the FDLP, LSCM undertook several promotional initiatives in FY 2010.

GPO has been disseminating Public Service Announcements (PSAs) to radio stations across the country. GPO staff have created two separate radio spots: one directed at stations on college campuses with a depository and another for public stations and for college campuses without a depository. Almost 150 radio stations are airing the PSA nationwide.

Additionally, GPO contracted with North American Precis Syndicate (NAPS). NAPS staff worked with GPO staff to disseminate informational articles about the FDLP to 10,000 print and online publications nationwide, as well as a radio spot to about 400 FM stations nationwide.

A new FDLP screensaver was also created for public access workstations at depository libraries.

In addition, an FDSys promotional plan was created and is now being executed. FDSys promotional initiatives currently in process include: a re-vamp of all promotional brochures offered for free ordering from the FDLP Desktop, an FDSys Web page on the FDLP Desktop with FDSys training materials, a new NAPS campaign focused on FDSys, and new FDSys mouse pads for free ordering on the FDLP Desktop.

Document Discovery Program

Not all in scope Federal publications have been cataloged and disseminated through the Federal Depository Library Program. Publications that may be in scope of the FDLP but not yet cataloged and disseminated through the FDLP have traditionally been known as “fugitive documents” or “Lost Docs.” GPO’s Lost Docs Program has been running since the 1990s when GPO began requesting the help of the Federal depository library community and Federal agency contacts to identify documents that are not a part of the FDLP, but are within its scope.

In early 2010, GPO took on a project to revitalize the Lost Docs Program, and in that, changed the name of the program to the Document Discovery Program.

The revitalization involved a two-pronged approach to meet the needs and convenience of two distinct client groups:

- FDLP librarians – Increased communication and changes in the workflow are taking place:
 - » Submission in askGPO is encouraged to allow tracking of responses.
 - » Librarians’ Discovery Documents requests are receiving priority routing and monitoring.
 - » Additional workflow changes are under consideration for greater efficiency and transparency.
- Federal agency publishers – Active involvement of Federal agency publishers is essential as more and more online-only documents are published.
 - » GPO content acquisitions staff members reach out to agencies through personal visits, phone calls, presentations at GPO agency events, invitations to visit GPO, and a pamphlet encouraging them to report their publications.
 - » To reach more Federal agency contacts and elicit their cooperation, the Document Discovery Program will be more tailored to agency needs, convenience, and motivation.

- » The added value to the Federal agencies of permanent access, and the importance to the public are motivating factors. The message will be conveyed through multiple channels.
- » The agency submission form will be placed in an easy-to-reach location on gpo.gov to increase ease of use and enhanced visibility.

Online Learning

Online Programming for all Libraries (OPAL)

GPO, as well as depository staff and representatives from Federal agencies have presented several programs on topics related to the FDLP through Online Programming for All Libraries (OPAL), a Web conferencing service <http://www.opal-online.org/>. All past presentations are available for viewing in the GPO OPAL archive at <http://www.opal-online.org/archivegpo.htm>.

In the last year, GPO started a new program entitled “Chat with GPO.” During these interactive sessions, GPO staff present on timely and relevant topics, and depository staff have the opportunity to engage in Q&A with the presenters. “Chat with GPO” sessions held in FY 2010 are listed below:

- An Overview of the Microfiche program of the Federal Depository Library Program
- A Brief Overview of Microfiche, Shipping Lists, & SuDoc Classification in the Federal Depository Library System
- Cataloging Records Distribution Pilot Project
- Discards, Needs, & Offers—Oh My! What’s One to Do?
- Helping GPO Identify Fugitive Publications
- The GPO Historic Shelflist Project
- GPO’s Federal Digital System
- Needs Assessment & 2009 Biennial Survey: What Did We Learn?

Other OPAL presentations for FY 2010 are listed below:

- Searching for Free Government Full Text Docs Online: Where to Begin?

- Department of Energy Office of Science & Technology Information (OSTI): A Very Brief Overview of OSTI Offerings
- Trademarks: What Every Librarian Should Know

Also, for the first time, OPAL and GPO hosted the Six-State Virtual Government Information Conference, which includes 15 separate presentations.

Visit the FDLP Desktop at <http://www.fdlp.gov/out-reach/onlinelearning/68-opal> to view the OPAL archive, submit a proposal for a program, and more.

ADDITIONALLY THIS FISCAL YEAR, GPO ENHANCED ONLINE LEARNING OPPORTUNITIES THROUGH THE AVAILABILITY OF EDUCATIONAL TRAINING MODULES.

Education Modules

Additionally this fiscal year, GPO enhanced online learning opportunities through the availability of educational training modules. So far, we have made available WEBTech Notes, and the FDLP Desktop and others are planned for future releases.

To view this first module please visit <http://www.fdlp.gov/webtechnotes>.

GPO Partnerships

In FY 2010, GPO developed a new partnership with the Cornell University Law Library for a pilot project to evaluate a conversion process of the Code of Federal Regulations (CFR) into XML (extensible markup language) format. The Cornell Legal Information Institute is converting various titles into XML and making them accessible on the university’s Web site for research. GPO and Cornell will use the lessons learned from the pilot project to find ways of providing public openness to government documents.

Partnerships with Southern Methodist University for the Historic Publications from World War II Digital Library and with the Library of Michigan for regional

depository library information were renewed. The renewal of the agreement with the Library of Michigan also brought a change to the location of the regional information which was moved off the Library's Web site and onto the FDLP Community site.

The partnership with the Association of Schools of Public Health (ASPH) to provide electronic access to Public Health Reports was announced in September 2009 and was fully implemented in January 2010. Almost 700 depositories now have electronic access to this journal.

Several institutions celebrated anniversaries as GPO partners in FY 2010:

- University of Illinois at Chicago and DOSFAN – 13 years as a GPO partner
- Louisiana State University and the List of Federal Agency Internet Sites – 8 years as a GPO partner
- Oklahoma State University and Browse Topics – 7 years as a GPO partner
- Federal Reserve Bank of St. Louis and FRASER – 5 years as a GPO partner

Additionally, GPO entered into a new service partnership with St. Mary's University for their Government Information on the Web Subject Index, which provides a starting point for browsing subject areas, bringing both broad and detailed subject listings from many libraries together in one index.

GPO also released Cooperative Cataloging Guidelines in August 2010, which establishes the guidelines under which GPO will undertake partnerships with a cataloging component. The Cooperative Cataloging Guidelines are available at <http://www.fdlp.gov/outreach/partnerships/757-catalogingpartnershipguide>.

Finally, GPO joined the Lots of Copies Keep Stuff Safe (LOCKSS) Alliance this past year. More information on LOCKSS is available in the Preservation section.

Public Access Assessments & Community Outreach

Public Access Assessments

Conducting Public Access Assessments is the primary way that LSCM fulfills the legal requirement "to make firsthand investigation of conditions for which need is indicated" (44 USC §1909). Public Access Assessments, or PAA, is a review by LSCM outreach librarians of a library's Federal depository operations and services that emphasizes how they provide access to Federal Government information products and serve the community's needs. The review identifies strengths of the depository and also any areas needing improvement. Individual depository libraries have the flexibility to determine locally how to apply the requirements. PAA reviews also provide LSCM and library staff with opportunities to share depository management best practices, with the goal of enhancing depository services to the public and promoting greater efficiency and effectiveness within the FDLP. Any library wishing to have an assessment may request one at any time.

For more information about Public Access Assessments, visit <http://www.fdlp.gov/outreach/paa>.

In FY 2010, PAAs were conducted in:

- Arizona
- Connecticut
- Georgia
- Massachusetts
- Mississippi
- Nebraska
- Oklahoma
- Texas
- New Mexico

This fiscal year, four additional Outreach Librarians were hired, tripling the staff who consult on depository management issues, provide training, and perform Public Access Assessments.

Community Outreach

Public Access Assessments are just one of the outreach activities conducted by LSCM. Related outreach activities pertaining to library visits, training, and other

outreach activities by LSCM staff may be found at <http://www.fdlp.gov/outreach/events/450-fdlp-on-the-go>. Also from this page, you may submit a request for GPO staff to participate in state and local meetings, speaking engagements, and to hold training.

Additionally, the Outreach section of the FDLP Desktop was updated to include information about LSCM's interaction, communication, and consultation with FDLP libraries about depository management activities. This includes information about GPO's consultation services relating to depository management and spotlight articles about depository libraries.

Daily consultation with individual library staff in person, on the phone, or by e-mail about their individual library situations and best practices is of great importance to GPO staff. LSCM also continues to develop educational articles, tools, and conference programs to assist library personnel as they strive to make the most of their depository operations.

LSCM staff have been very involved in working groups and conferences that focus on major issues in the FDLP. Below are some highlights.

GPO staff, particularly LSCM's Archival Manager, James Mauldin, has been heavily involved in the recent release of "Technical Guidelines for Digitizing Cultural Heritage Materials." The Technical Guidelines for Digitizing Cultural Heritage Materials: Creation of Raster Image Master Files represents shared best practices followed by agencies participating in the Federal Agencies Digitization Guidelines Initiative (FADGI) Still Image Working Group for digitizing cultural heritage materials. This group is involved in a cooperative effort to develop common digitization guidelines for still image materials (such as textual content, maps, and photographic prints and negatives) found in cultural heritage institutions.

These Guidelines were prepared by members of the working group during the winter of 2009-2010. This document draws substantially on the National Archives and Records Administration's Technical Guidelines for Digitizing Archival Records for Electronic Access: Creation of Production Master Files – Raster Images (June 2004), but has been revised

and updated in several areas to reflect the current recommendations of the working group and to reflect changes that have occurred in the digitization field during the last five years.

The document is on the FADGI website at: http://www.digitizationguidelines.gov/stillimages/documents/FADGI_Still_Image-Tech_Guidelines_2010-08.pdf.

Mr. Mauldin has also been selected as the FY 2011 Chair for the CENDI Digitization Task Group.

Cindy Etkin, Senior Program Planning Specialist within the Office of the Superintendent of Documents, is serving as the Co-Chair of the Science.gov Alliance. Additionally this year, Ms. Etkin spoke before and participated in a discussion panel of the Association of College and Research Libraries, Science & Technology Section's annual meeting about how GPO and the FDLP create greater access to and support for scientific and technical information. Sci-tech librarians are a new audience for LSCM as most of the attendees were not from Federal depository libraries.

David Walls, GPO's Preservation Librarian, and Kate Zwaard of GPO's Program Management Office participated in the Archiving 2010 conference held in The Hague, Netherlands, sponsored by Imaging Science and Technology. This conference is a four-day intensive program covering the latest developments in digital imaging and archiving technology for librarians, archivists, and cultural property custodians. Attendees are typically preservation managers and technical experts from national libraries, government archives, and research institutions.

Cindy Etkin and David Walls also participated and spoke at the Government Information Subcommittee meeting at ALA Annual. David Walls gave a brief talk at the GIS meeting about the mission and goals of preservation.

Reaching out to the Community through Events, Conferences, and Booth Presentations

FY 2010 was a busy year for outreach activities by LSCM staff to members of the FDLP community. Below are some highlights from the year:

Federal Depository Library Program

Date	Event	Location
October 2009	Arizona Depository Library Council Meeting	Phoenix, AZ
October 2009	Tennessee Library Association GODORT Annual Meeting	Nashville, TN
October 2009	CENDI Working Group Meeting	Washington, DC
October 2009	RightNow Technologies Summit 09	Colorado Springs, CO
October 2009	Public Access Assessment: Phoenix Public Library	Phoenix, AZ
October 2009	Public Access Assessment: Mesa Library	Mesa, AZ
October 2009	Public Access Assessment: Hayden Library, Arizona State University	Tempe, AZ
October 2009	Public Access Assessment: Central Arizona College	Coolidge, AZ
October 2009	Public Access Assessment: Arizona State Library	Phoenix, AZ
October 2009	Public Access Assessment: Northern Arizona University	Flagstaff, AZ
October 2009	Public Access Assessment: Yavapai College	Prescott, AZ
October 2009	Small Business Administration visit	Washington, DC
October 2009	Federal Depository Library Council Meeting & Conference	Arlington, VA
October 2009	Association of Research Libraries Meeting	Washington, DC
October 2009	Public Access Assessment: University of North Texas	Denton, TX
October 2009	Public Access Assessment: Texas Tech University	Lubbock, TX
October 2009	Public Access Assessment: Houston Public Library	Houston, TX
October 2009	Prairie View A&M University visit	Prairie View, TX
November 2009	Public Access Assessment: Oklahoma Department of Libraries	Oklahoma City, OK
November 2009	Public Access Assessment: Bizzell Library, University of Oklahoma	Norman, OK
November 2009	Public Access Assessment: Oklahoma State University	Stillwater, OK
November 2009	Public Access Assessment: Northeastern State University	Tahlequah, OK
November 2009	Annual Meeting of Federal Depository Libraries in Oklahoma	Oklahoma City, OK
November 2009	Federal Records and Information Managers Fall Forum	Washington, DC
November 2009	Charleston Conference: Issues in Book and Serials Acquisitions	Charleston, SC
November 2009	Public Access Assessment: School of Law, University of Georgia	Athens, GA
November 2009	Public Access Assessment: University of Georgia	Athens, GA
November 2009	Georgia Southern University at Statesboro: 70th Anniversary Celebration	Statesboro, GA
November 2009	Public Access Assessment: Georgia College and State University	Milledgeville, GA
January 2010	Open Government: Defining, Designing, & Sustaining Transparency	Princeton, NJ
January 2010	Public Access Assessment: Supreme Judicial Court Social Law Library	Boston, MA
January 2010	Public Access Assessment: Snell Library, Northeastern University	Boston, MA
January 2010	Public Access Assessment: Boston Public Library	Boston, MA
January 2010	Public Access Assessment: U.S. Courts of Appeals First Circuit Library	Boston, MA
January 2010	American Library Association's Mid-Winter Meeting	Boston, MA
February 2010	iSchools iConference: iPACTS (University of Illinois at Urbana-Champaign)	Champaign, IL
March 2010	U.S. Workshop on Roadmap for Digital Preservation Interoperability Framework	Gaithersburg, MD
March 2010	Bonneville Power Administration Library visit	Portland, OR
March 2010	Public Library Association's National Conference	Portland, OR

Date	Event	Location
March 2010	Law Library Association of MD: Legal Research Institute	Baltimore, MD
April 2010	Buffalo and Erie County Public Library visit	Buffalo, NY
April 2010	Texas Library Association Meeting & Open House	El Paso, TX
April 2010	Bowling Green State University Libraries: 77th Anniversary Celebration	Bowling Green, OH
April 2010	El Paso Public Library visit	El Paso, TX
April 2010	New Mexico State University, Branson Hall Library Anniversary Celebration	Las Cruces, NM
April 2010	Public Access Assessment: University of New Mexico Libraries	Albuquerque, NM
April 2010	A National Conversation on the Economic Sustainability of Digital Information	Washington, DC
April 2010	Federal Depository Library Council Meeting	Buffalo, NY
May 2010	Open House: GPO on the Road	Little Rock, AR
May 2010	Tectonics of Digital Curation	Cambridge, MA
May 2010	Society of Government Meeting Planners	Kansas City, MO
May 2010	Michigan Libraries Stakeholders Meeting (4 locations)	Michigan
May 2010	Ex Libris Users of North America 2010 Meeting	Fort Worth, TX
June 2010	American Library Association's Annual Conference	Washington, DC
June 2010	Can We Relax Yet? Assessing the Risks to Library Collections Workshop	Beltsville, MD
June 2010	Special Library Association's Annual Conference	New Orleans, LA
June 2010	North American Serials Interest Group 25th Annual Conference	Palm Springs, CA
June 2010	Society for Imaging & Science Technology Archiving 2010 Conference	The Hague, Netherlands
July 2010	Interagency Depository Seminar	Washington, DC
July 2010	American Association of Law Libraries' Annual Meeting & Conference	Denver, CO
August 2010	Hoyt Public Library Anniversary Celebration	Saginaw, MI
August 2010	Library of Michigan's 150th Anniversary Celebration	Lansing, MI
August 2010	Association of Southeastern Research Libraries Summertime Summit	Atlanta, GA
August 2010	District of Columbia Public Library visit	Washington, DC
September 2010	Solving Data Interoperability in Government	Washington, DC
September 2010	Public Access Assessment: University of Connecticut, School of Law Library	Hartford, CT
September 2010	Public Access Assessment: Connecticut State Library	Hartford, CT
September 2010	Public Access Assessment: Ferguson Municipal Public Library	Stamford, CT
September 2010	Public Access Assessment: Trinity College Library	Hartford, CT

CATALOGING & INDEXING PROGRAM



GPO is charged with cataloging and indexing all publications issued by the Federal Government that are not confidential in character. This task serves libraries and the public nationwide and enables people to locate desired Government publications in all formats. The following FY 2010 accomplishments are in direct support of the Cataloging and Indexing (C&I) Program.

Integrated Library System (ILS)

FY 2010 saw significant progress regarding the Integrated Library System (ILS).

MetaLib was recently released with an initial collection of 49 resources. A direct link to a pre-populated category in askGPO “Suggest a Resource” on the MetaLib banner leverages expert knowledge of Federal Government documents in depository libraries and in the user base at large by facilitating recommendations to GPO for additional resources to add to MetaLib.

The FDLP login page was also released this year. The FDLP Login page gives depository libraries access to authenticated services in the Catalog of U.S. Government Publications. These include the setup of Selective Dissemination of Information (SDI) searches whose results can be returned via RSS or e-mail. In addition, it provides the ability to add desired records to the Bookshelf, create folders to organize and store them, and save them across sessions. Moreover, there is the option to save to the authenticated users’ local PC and the option to set and save user preferences such as number of results per page and result format.

The Authority Control initiative has led to the validation of Library of Congress’ name and subject headings in monthly files of bibliographic records throughout the year. Aleph has also been configured so that new or updated Library of Congress name and subject authority records now automatically

update bibliographic records in the Catalog of U.S. Government Publications (CGP).

ILS Aleph software has been upgraded from version 18 to the latest version of 20 and is now in production.

New hardware was brought into production and the software has been upgraded for the ILS Citrix implementation. This enhancement provides GPO staff users secure, thin-client access to the Aleph staff client.

Another layer of systems support has been added to the Federal Depository Library Directory which includes monitoring and data capture.

Additionally, LSCM staff conducted a stress test with depository library volunteers on the z39.50 server. The test results demonstrated that the existing hardware could handle an increased load. Therefore, the z39.50 per-session record limit was raised from 1,000 records to 10,000 records.

A new format was added to the New Electronic Titles Monthly Archive Reports. As of June 2010, the reports are available in both html and csv formats. The csv format allows depository libraries greater flexibility in manipulating the report data.

Quality Control

GPO continued its efforts to ensure quality control in classification and cataloging this past fiscal year.

Quality control processes in the classification and microfiche units have been reviewed and documented. Bibliographic Control staff also reviewed and deleted over 21,000 duplicate bibliographic records from the CGP. These duplicate records were issue level records that existed in the previous version of the CGP.

In an effort to better track the types of questions received through the “Cataloging” category of askGPO, it was determined that each question will be assigned a question type category as they are answered. Monthly reports of the numbers of questions received in each category are being reviewed as well as a sample of the questions in the categories. This information will be used to identify any problem areas as well as identify areas where additional information about a LSCM policy is needed.

The Bibliographic Control unit is also revamping its method for performing quality control checks on original and updated bibliographic records, which will permit the gathering of better statistics and allow staff to more easily develop strategies to address any problem areas.

Pre-1976 Shelflist Conversion Project

GPO is continuing with the transcription of the historic Shelflist. In January 2010, contracted staff began work at GPO to transcribe the Shelflist cards into MARC records. Work began in the Y4's but has now moved onto other SuDoc classes.

LSCM staff have been enhancing records by adding 1 Library of Congress Subject Heading and 1 corporate name authority to the transcribed records.

As of August 2010, over 6,300 records are available in the CGP. Shelflist records are also included in Cataloging Record Distribution Project.

GPO has also completed the digitization of over 280,000 Shelflist cards which will be used for internal GPO processes. Additionally, a Statement of Work has been released to complete the digitization of the remaining Shelflist cards.

An OPAL session on the shelflist was held in May 2010 and can be view in the OPAL archive, accessible from <http://www.fdlp.gov/outreach/onlinelearning/68-opal>.

Information about GPO's historic Shelflist is available at <http://www.fdlp.gov/home/about/759-gpo-historic-shelflist>.

Cataloging Record Distribution Pilot

Among the initiatives funded by H. R. 1105, the Omnibus Appropriations Act of FY 2009 (P. L. 111-8) was a project to enhance the services GPO provides to members of the FDLP and the public.

GPO contracted with MARCIVE, Inc. for a pilot project for the FDLP. 48 Federal depository libraries were chosen to participate in the pilot program in which GPO bibliographic records were distributed from GPO's ILS to these libraries. It was a new process and workflow for both GPO and MARCIVE, Inc.

Participating libraries received 12 months of records. To date, two batches of records have been distributed to libraries.

GPO is taking lessons learned from this pilot to look at a long-term solution for bibliographic record distribution.

As a next step, this project is moving out of pilot phase and continuing for the duration of FY 2011. In this, the original 48 pilot libraries had the opportunity to continue receiving records through October 2011, and GPO is accepting new libraries into the project. The goal is to have a total of 75 participating libraries.

BY-LAW PROGRAM



LSCM administers the dissemination of certain tangible publications as specified by public law. Under Title 44 of the United States Code, GPO is required to provide copies of publications to certain Federal agencies and others at the direction of Congress. Two or more copies of every publication printed are provided to the Library of Congress, regardless of whether the publication is distributed to Federal depository libraries. The National Archives and Records Administration (NARA) is entitled to receive three copies of every publication printed. Additionally, on behalf of the Department of State, LSCM distributes copies of publications to foreign legions. LSCM also maintains mailing lists for By-Law distribution of specific publications.

A database created to track By-Law publications more efficiently continues to provide excellent results. Additionally, there is adequate on-site storage for By-Law publications. Furthermore, protocols were recently established between various GPO business

units that further ensure that all By-Law publications are identified as such by the Superintendent of Documents.

A DATABASE CREATED TO TRACK BY-LAW PUBLICATIONS MORE EFFICIENTLY CONTINUES TO PROVIDE EXCELLENT RESULTS.

INTERNATIONAL EXCHANGE SERVICE (IES)



Under the direction of the Library of Congress (LC), GPO distributes tangible Government publications to foreign governments that agree to send to the United States similar publications of their governments for LC collections. The following statistics reflect IES activity for FY 2010 (through July 2010 only).

Distributed IES Publications:

- Full Sets: 88,546
- Congressional Record: 8,862
- Federal Register: 6,243
- Serial Set (Paper): 900
- Serial Set (Microfiche): 8,228
- Total Boxes Shipped: 2,561

A LOOK AT METRICS

The following statistics reflect notable LSCM metrics for FY 2010 (through July 2010).

- New Titles Acquired (Online & Tangible): 15,281
- Searches of the Catalog of U.S. Government Publications: 15,800,348
- Total Titles Cataloged: 13,830
- Total PURLs Created: 9,019
- Total Titles Distributed: 6,952
- Total Copies Distributed: 1,607,369
- Number of Federal Depository Libraries: 1,228

LOOKING AHEAD TO FY 2011

The strong partnership between GPO and depository libraries is what makes the FDLP such a vital and successful Program. The strength in that program lies in the foundation of communication and collaboration between GPO and depositories.

As we move forward into FY 2011, all depositories are encouraged to share feedback with GPO. There are several mechanisms by which to do so:

1. Utilize askGPO: <http://gpo.custhelp.com/cgi-bin/gpo.cfg/php/enduser/ask.php>
2. Contact LSCM directors and managers directly through the LSCM Contact Form: <http://www.fdlp.gov/help/contact>
3. Visit GPO staff at 1) the Spring Depository Library Council Meeting, 2) the Interagency Depository Seminar, and 3) the Federal Depository Library Conference and Fall Depository Library Council Meeting.

Everyone at GPO thanks you for your support in FY 2010, and we look forward to working closely with you again in FY 2011.



U.S. GOVERNMENT PRINTING OFFICE
www.gpo.gov | Keeping America Informed | www.fdsys.gov